

proto: An R Package for Prototype Programming

Louis Kates
GKX Associates Inc.

Thomas Petzoldt
Technische Universität Dresden

Abstract

proto is an R package which facilitates a style of programming known as prototype programming. Prototype programming is a type of object oriented programming in which there are no classes. **proto** is simple yet retains the object oriented features of delegation (the prototype counterpart to inheritance) and object oriented dispatch. **proto** can be used to organize the concrete data and procedures in statistical studies and other applications without the necessity of defining classes while still providing convenient access to an object oriented style of programming. Furthermore, it can be used in a class-based style as well. The key design goals of the package are to integrate into R while providing nothing more than a thin layer on top of it. This paper describes how these goals are achieved within **proto** and provides examples of the use of the "proto" package.

Keywords: prototype programming, delegation, inheritance, clone, object orientated, S3, R.

1. Introduction

1.1. Object Oriented Programming in R

The R system for statistical computing (R Development Core Team 2005, <http://www.R-project.org/>) ships with two systems for object oriented programming referred to as S3 and S4. With the increased interest in object oriented programming within R over the last years additional object oriented programming packages emerged. These include the **R.oo** package (Bengtsson 2003) and the **OOP** package (Chambers and Lang 2001, <http://www.omegahat.org/OOP/>). All these packages have the common thread that they use classes as the basis of inheritance. When a method is sent to an object the class of the object is examined and that class determines the specific function that is executed. In prototype programming there are no classes making it simple yet it retains much of the power of class-based programming. In the fact, **proto** is so simple that there is only one significant new routine name, **proto**. The other routines are just the expected support routines such as **as.proto** to coerce objects to proto objects, **\$** to access and set proto object components and **is.proto** to check whether an object is a proto object. In addition, **dot.proto** will generate a graphical ancestor tree showing the parent-child relationships among generated **proto** objects.

1.2. History

The concept of prototype programming (Lieberman 1986; Taivalsaari 1996; Noble, Taivalsaari, and Moore 1999) has developed over a number of years with the **Self** language (Agesen, Bak, Chambers, Chang, Hölzle, Maloney, Smith, and Ungar 1992) being the key evolved programming language to demonstrate the concept. In statistics, the Lisp-based LispStat programming language (Tierney 1990) was the first and possibly only statistical system to feature prototype programming.

Despite having been developed over 20 years ago, and some attempts to enter the mainstream (e.g. Newtonscript on the Newton computer, which is no longer available, and Javascript where it is available but whose domain of application largely precludes use of prototype programming) prototype programming is not well known due to lack of language support in popular programming

languages such as C and Java. It tends to be the domain of research languages or Lisp.

Thus the the availability of a popular language, R¹, that finally does provide the key infrastructure is an important development.

This work grew out of the need to organize multiple scenarios of model simulations in ecological modelling (Petzoldt 2003) and was subsequently generalized to the present package. A number of iterations of the code, some motivated by the ever increasing feature set in R, resulted in a series of utilities and ultimately successive versions of an R package developed over the last year. An initial version used R lists as the basis of the package. Subsequently the package was changed to use R environments. The first version to use environments stored the receiver object variable in a proxy parent environment which was created on-the-fly at each method call. The present version of **proto** passes the receiver object through the argument list, while hiding this from the caller. It defines the **proto** class as a subclass of the S3 **environment** class so that functionality built into R for the environment class is automatically inherited by the **proto** class.

1.3. Benefits

The key benefit of the **proto** package is to provide access to a style of programming that has not been conveniently accessible within R or any other mainstream language today.

One particularly important use of the **proto** package in statistical applications is to wrap the code and data for each run of a particular study into an object. This provides a desirable level of organization yet gives the benefits of inheritance and object oriented dispatch without the overhead of having to create classes. Such concrete applications are the key domain of prototype programming. In contrast abstractions, such as a time series class, a matrix class, etc. are well suited to class-based programming although **proto** can be used in such applications as well since, as shown later, it is powerful enough to encompass class-based object-oriented programming even though classes are not a primitive notion in **proto**.

1.4. Overview

It is assumed that the reader has some general familiarity with object oriented programming concepts and with R.

The paper will proceed primarily by example focusing on illustrating the package **proto** through such demonstration. The remainder of the paper is organized as follows: Section 2 explains how "**proto**" objects are created and illustrates the corresponding methods for setting and getting components. It further discusses how object oriented delegation (the prototype programming analogue of inheritance) is handled and finally discusses the internals of the package. This section uses small examples chosen for their simplicity in illustrating the concepts. In Section 3 we provide additional examples of prototype programming in action. Three examples are shown. The first involves smoothing of data. Secondly we demonstrate the calculation of correlation confidence intervals using classical (Fisher Transform) and modern (bootstrapping) methods. Lastly we demonstrate the development of a binary tree as would be required for a dendrogram. Section 4 gives a few summarizing remarks. Finally, an appendix provides a reference card that summarizes the functionality contained in **proto** in terms of its constituent commands.

¹Some indications of the popularity of R are the high volume mailing lists, international development team, the existence of over 400 add-on packages, conferences and numerous books and papers devoted to R.

2. The class "proto" and its methods

2.1. Creation of "proto" objects

In this section we shall show, by example, the creation of two prototype objects and related operations. The simple idea is that each "proto" object is a set of components: functions (methods) and variables, which are tightly related in some way.

A prototype object is created using the constructor function `proto` (see Appendix A at the end of this paper or `proto` package online help for complete syntax of commands):

```
addProto <- proto( x = rnorm(5), add = function(.) sum(.$x) )
```

In this simple example, the `proto` function defines two components: a variable `x` and a method `add`. The variable `x` is a vector of 5 numbers and the method sums those numbers. As shown with the `add` method in this example, formal argument lists of methods must always have a first argument of dot (i.e. `.`) which signifies the object on which the method is operating. The dot refers to the current object in the same way that a dot refers to the current directory in UNIX. Within the method one must refer to other variables and methods in the object by prefacing each with `.$`. For example, in the above we write `sum(.$x)`. Finally, note that the data and the method are very closely related. Such close coupling is important in order to create an easily maintained system.

To illustrate the usage of `proto`, we first load the package and set the random seed to make the examples in this paper exactly reproducible.

```
> library(proto)
> set.seed(123)
```

Then, we create the `proto` object from above and call its `add` method.

```
> addProto <- proto(x = rnorm(5), add = function(.) sum(.$x))
> addProto$add()
```

```
[1] 0.9678513
```

We also create another object, `addProto2` with a different `x` vector and invoke its `add` method too.

```
> addProto2 <- addProto$proto(x = 1:5)
> addProto2$add()
```

```
[1] 15
```

In the examples above, we created a prototype object `addProto` and then called its `add` method as just explained. The notation `addProto$add` tells the system to look for the `add` method in the `addProto` object. In the expression `addProto$add`, the `proto` object to the left of the dollar sign, `addProto` here, is referred to as the *receiver* object. This expression also has a second purpose which is to pass the receiver object implicitly as the first argument of `add`. Note that we called `add` as if it had zero arguments but, in fact, it has one argument because the receiver is automatically and implicitly supplied as the first argument. In general, the notation `object$method(arguments)` is used to invoke the indicated method of the receiver object using the object as the implicit first argument along with the indicated arguments as the subsequent arguments. As with the `addProto` example, the receiver object not only determines where to find the method but also is implicitly passed to the method through the first argument. The motivation for this notation is to relieve the user of specifying the receiver object twice: once to locate the method in the object and a second

time to pass the object itself to the method. The `$` is overloaded by the `proto` class to automatically do both with one reference to the receiver object. Even though, as with the `addProto` example, the first argument is not listed in the call it still must be listed among the formal arguments in the definition of the method. It is conventional to use a dot `.` as the first formal argument in the method/function definition. That is, we call `add` using `addProto$add()` displaying zero arguments but we define `add` in `addProto` displaying one argument `add <- function(.), the dot.`

In this example, we also created a second object, `addProto2`, which has the first object, `addProto` as its parent. Any reference to a component in the second object that is unsuccessful will cause search to continue in the parent. Thus the call `addProto2$add()` looks for `add` in `addProto2` and not finding it there searches its parent, `addProto`, where it is, indeed, found. `add` is invoked with the receiver object, `addProto2`, as the value of dot. The call `addProto2$add()` actually causes the `add` in `addProto` to run but it still uses the `x` from `addProto2` since dot `(.)` is `addProto2` here and `add` references `.$x`. Note that the reference to `.$x` in the `add` found in `addProto` does not refer to the `x` in `addProto` itself. The `x` in `addProto2` has overridden the `x` in its parent. This point is important so the reader should take care to absorb this point.

This simple example already shows the key elements of the system and how *delegation* (the prototype programming term for inheritance) works without classes.

We can add new components or replace components in an object and invoke various methods like this:

```
> addProto2$y <- seq(2, 10, 2)
> addProto2$x <- 1:10
> addProto2$add3 <- function(., z) sum(.$x) + sum(.$y) + sum(z)
> addProto2$add()

[1] 55

> addProto2$add3(c(2, 3, 5))

[1] 95

> addProto2$y

[1] 2 4 6 8 10
```

In this example, we insert variable `y` into the object `addProto2` with a value of `seq(2,10,2)`, reset variable `x` to a new value and insert a new method, `add3`. Then we invoke our two methods and display `y`. Again, note that in the case of `protoAdd2$add` the `add` method is not present in `protoAdd2` and so search continues to the parent `addProto` where it is found.

2.2. Internals

So far, we have used simple examples to illustrate the basic manipulation of objects: construction, getting and setting components and method invocation. We now discuss the internals of the package and how it relates to R constructs. `proto` is actually an S3 class which is a subclass of the `environment` class. Every `proto` object is an environment and its class is `c("proto", "environment")`. The `$` accessor is similar to the same accessor in environments except it will use the R `get` function to search up parent links if it cannot otherwise find the object (unlike environments). When accessing a method, `$` automatically supplies the first argument to the method unless the object is `that` or `super`. `that` is a special variable which `proto` adds to every `proto` object denoting the object itself. `super` is also added to every `proto` object and is the parent of `that`. `that` and `super` are normally used within methods of an object to refer to other components of the same or parent object, respectively, as opposed to the receiver `(.)`. For example, suppose we want `add` in `addProto2` to add the elements of `x` together and the elements of `y` together and then add these two sums. We could redefine `add` like this:

```
> addProto2$add <- function(.) super$add(.) + sum(.$y)
```

making use of the `add` already defined in the parent. One exception should be noted here. When one uses `super`, as above, or `that` to specify a method then the receiver object must be explicitly specified in argument one (since in those cases the receiver is possibly different than `super` or `that` so the system cannot automatically supply it to the call.)

Setting a value is similar to the corresponding operation for environments except that any function, i.e method, which is inserted has its environment set to the environment of the object into which it is being inserted. This is necessary so that such methods can reference `that` and `super` using lexical scoping.

2.3. Traits

Let us look at the definition of a child object once again. `addProto` is the previously defined parent object and the expression `addProto$proto(x = 1:5)` defines a child object of `addProto` and assigns it to variable `addProto2a`.

```
> addProto2a <- addProto$proto(x = 1:5)
> addProto2a$add()
```

```
[1] 15
```

That is, `proto` can be used to create a new child of an existing object by writing the parent object on the left of the `$` and `proto` on its right. Any contents to be added to the new child are listed in arguments of `proto` as shown.

For example, first let us create a class-like structure. In the following `Add` is an object that behaves very much like a class with an `add` method and a method `init` which constructs new objects. In the line creating object `add1` the expression `Add$init(x = 1:5)` invokes the `init` constructor of the receiver object `Add`. The method `init` has an argument of `x = 1:5` which defines an `x` variable in the `add1` object being instantiated. We similarly create another object `add2`.

```
> Add <- proto(add = function(.) sum(.$x), init = function(., x) .$proto(x = x))
> add1 <- Add$init(x = 1:5)
> add1$add()
```

```
[1] 15
```

```
> add2 <- Add$init(x = 1:10)
> add2$add()
```

```
[1] 55
```

An object which contains only methods and variables that are intended to be shared by all its children (as opposed to an object whose purpose is to have its own methods and variables) is known as a *trait*. It is similar to a class in class-based object oriented programming. Note that the objects `add1` and `add2` have the trait `Add` as their parent. We could implement subclass-like and superclass-like objects by simply defining similar trait objects to be the parent or child of `Add`. For example, suppose we want a class which calculates the sum of the logarithms of the data. We could define:

```
> Logadd <- Add$proto(logadd = function(.) log(.$add()))
> logadd1 <- Logadd$init(1:5)
> logadd1$logadd()
```

```
[1] 2.70805
```

Here the capitalized objects are traits. `Logadd` is a trait. It is a child of `Add` which is also a trait. `logadd1` is an ordinary object, not a trait. One possible design is to create a tree of traits and other objects in which the leaves are ordinary objects and the remaining nodes are traits. This would closely correspond to class-based object oriented programming.

Note that the delegation of methods from one trait to another as in `init` which is inherited by `Logadd` from `Add` is nothing more than the same mechanism by which traits delegate methods to objects since, of course, traits are just objects no different from any other object other than by the conventions we impose on them. This unification of subclassing and instantiation beautifully shows the simplification that prototype programming represents.

2.4. Utilities

The fact that method calls automatically insert the first argument can be used to good effect in leveraging existing R functions while allowing an object-oriented syntax.

For example, `ls()` can be used to list the components of `proto` objects:

```
> addProto$ls()

[1] "add"    "super"  "that"   "x"
```

Functions like:

```
> addProto$str()
> addProto$print()
> addProto$as.list()
```

show additional information about the elements. `eapply` can be used to explore more properties such as the the length of each component of an object:

```
> addProto$eapply(length)
```

Another example of some interest in any object oriented system which allows multiple references to one single object is that object identity can be tested using the respective base function:

```
> addProto$identical(addProto2)

[1] FALSE
```

It is important to notice here, that `proto` has no code that is specific to `ls`, `str` or any of the other ordinary R functions listed. We are simply making use of the fact that `obj$fun(...)` is transformed into `get("fun", obj)(obj, ...)` by the `proto $` operator. For example, in the case of `addProto$ls()` the system looks for `ls` in object `addProto`. It cannot find it there so it looks to its parent, which is the global environment. It does not find it there so it searches the remainder of the search path, i.e. the path shown by running the R command `search()`, and finally finds it in the base package, invoking it with an argument of `addProto`. Since all `proto` objects are also environments `ls(addProto)` interprets `addProto` as an environment and runs the `ls` command with it. In the `ls` example there were no arguments other than `addProto`, and even that one was implicit, but if there were additional arguments then they would be passed as shown in the `eapply` and `identical` examples above.

2.5. Plotting

The `dot.proto` function can be used to create output that is readable by the GraphViz `dot` program ([Gansler and North 2000](#)) to create visual representations of ancestor trees (figure 1). It takes two arguments, a `proto` object (or an environment) and a file name:

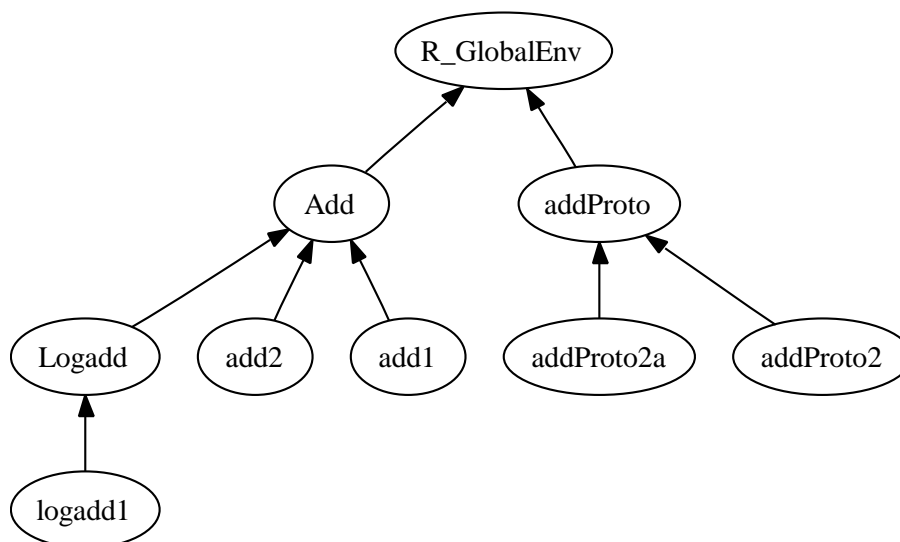


Figure 1: Ancestor tree generated using `dot.proto`. Edges point from child to parent.

```

> dot.proto(file = "test.dot")
> system("dot -Tps test.dot -o test.ps")

```

Both arguments can be omitted in which case the current environment and the standard output are the defaults.

3. Examples

3.1. Smoothing

In the following we create a `proto` object named `oo` containing a vector of data `x` (generated from a simulated autoregressive model) and time points `tt`, an intermediate result `x.smooth`, some plotting parameters `codexlab`, `ylab`, `pch`, `col` and three methods `smooth`, `plot` and `residuals` which smooth the data, plot the data and calculate residuals, respectively. We also define `..x.smooth` which holds intermediate results. Names beginning with two dots prevent them from being delegated to children. If we override `x` in a child we would not want an out-of-sync `x.smooth`. Note that the components of an object can be specified using a code block in place of the argument notation we used previously in the `proto` command.

```

> oo <- proto(expr = {
+   x <- rnorm(251, 0, 0.15)
+   x <- filter(x, c(1.2, -0.05, -0.18), method = "recursive")
+   x <- unclass(x[-seq(100)]) * 2 + 20
+   tt <- seq(12200, length = length(x))
+   ..x.smooth <- NA
+   xlab <- "Time (days)"
+   ylab <- "Temp (deg C)"
+   pch <- "."
+   col <- rep("black", 2)
+   smooth <- function(., ...) {
+     ..x.smooth <- supsmu(.$tt, .$x, ...)$y

```

```

+   }
+   plot <- function(.) with(., {
+     graphics::plot(tt, x, pch = pch, xlab = xlab, ylab = ylab,
+       col = col[1])
+     if (!is.na(..x.smooth[1]))
+       lines(tt, ..x.smooth, col = col[2])
+   })
+   residuals <- function(.) with(., {
+     data.frame(t = tt, y = x - ..x.smooth)
+   })
+ })

```

Having defined our `proto` object we can inspect it, as shown below, using `print` which is automatically invoked if the name of the object, `oo`, is entered on a line by itself. In this case, there is no `proto` print method so we inherit the environment print method which displays the environment hash code. Although it produces too much output to show here, we could have displayed a list of the entire contents of the object `oo` via `oo$as.list(all.names = TRUE)`. We can get a list of the names of the components of the object using `oo$ls(all.names = TRUE)` and will look at the contents of one component, `oo$pch`.

```

> oo

<environment: 016B806C>
attr(,"class")
[1] "proto"      "environment"

> oo$ls(all.names = TRUE)

[1] "..x.smooth" "col"      "pch"      "plot"      "residuals"
[6] "smooth"     "super"    "that"     "tt"        "x"
[11] "xlab"       "ylab"

```

```

> oo$pch

[1] "."

```

Let us illustrate a variety of manipulations. We will set up the output to plot 2 plots per screen using `mfrow`. We change the plotting symbol, smooth the data, invoke the `plot` method to display a plot of the data and the smooth and then plot the residuals in the second plot (figure 2).

```

> par(mfrow = c(1, 2))
> oo$pch <- 20
> oo$smooth()
> oo$plot()
> plot(oo$residuals(), type = "l")

```

Now let us illustrate the creation of a child object and delegation. We create a new child object of `oo` called `oo.res`. We will override the `x` value in its parent by setting `x` in the child to the value of the residuals in the parent. We will also override the `pch` and `ylab` plotting parameters. We will return to 1 plot per screen and run `plot` using the `oo.res` object as the receiver invoking the `smooth` and `plot` methods (which are delegated from the parent `oo`) with the data in the child (figure 3).

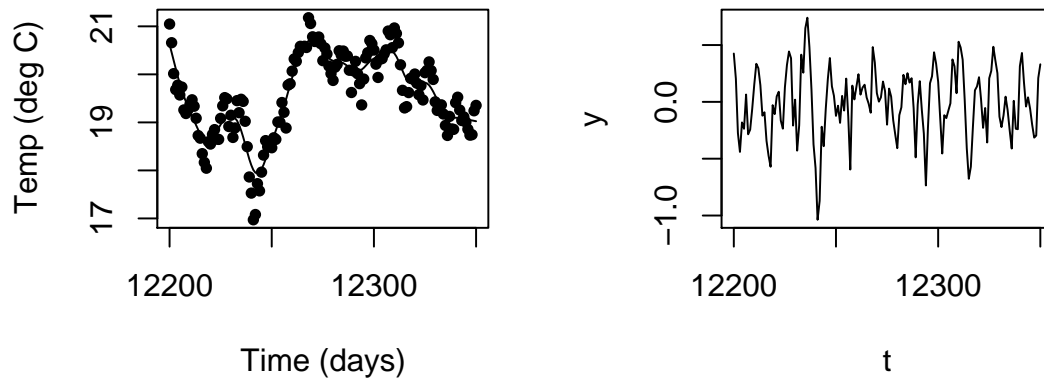


Figure 2: Data and smooth from `oo$plot()` (left) and plot of `oo$residuals()` (right).

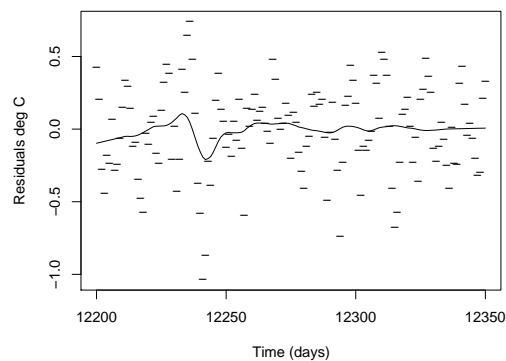


Figure 3: Output of `oo.res$plot()`. `oo.res$x` contains the residuals from `oo`.

```
> oo.res <- oo$proto(pch = "-", x = oo$residuals()$y, ylab = "Residuals deg C")
> par(mfrow = c(1, 1))
> oo.res$smooth()
> oo.res$plot()
```

Now we make use of delegation to change the parent and child in a consistent way with respect to certain plot characteristics. We have been using a numeric time axis. Let us interpret these numbers as the number of days since the Epoch, January 1, 1970, and let us also change the plot colors.

```
> oo$tt <- oo$tt + as.Date("1970-01-01")
> oo$xlab <- format(oo.res$tt[1], "%Y")
> oo$col = c("blue", "red")
```

We can introduce a new method, `splot`, into the parent `oo` and have it automatically inherited

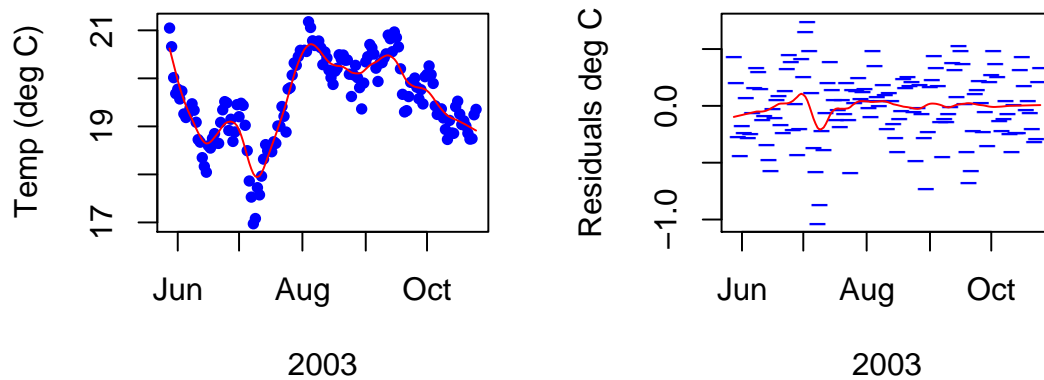


Figure 4: Plotting options and `splot` function applied to both parent (left) and child (right) object

by its children. In this example it smooths and then plots and we use it with both `oo` and `oo.res` (figure 4).

```
> oo$splot <- function(., ...) {
+   .$smooth(...)
+   .$plot()
+ }
> par(mfrow = c(1, 2))
> oo$splot(bass = 2)
> oo.res$splot()
```

Numerous possibilities exist to make use of the mechanisms shown, so one may create different child objects, apply different smoothing parameters, overwrite the smoothing function with a lowess smoother and finally compare fits and residuals.

Now let's change the data and repeat the analysis. Rather than overwrite the data we will preserve it in `oo` and create a child `oos` to hold an analysis with sinusoidal data.

```
> oos <- oo$proto(expr = {
+   tt <- seq(0, 4 * pi, length = 1000)
+   x <- sin(tt) + rnorm(tt, 0, 0.2)
+ })
> oos$splot()
```

Let's perform the residual analysis with `oos`. We will make a deep copy of `oo.res`, i.e. duplicate its contents and not merely delegate it, by copying `oo.res` to a list from which we create the duplicate, or cloned, `proto` object (figure 5 and 6):

```
> oos.res <- as.proto(oo.res$as.list(), parent = oos)
> oos.res$x <- oos$residuals()$y
> oos.res$splot()
```

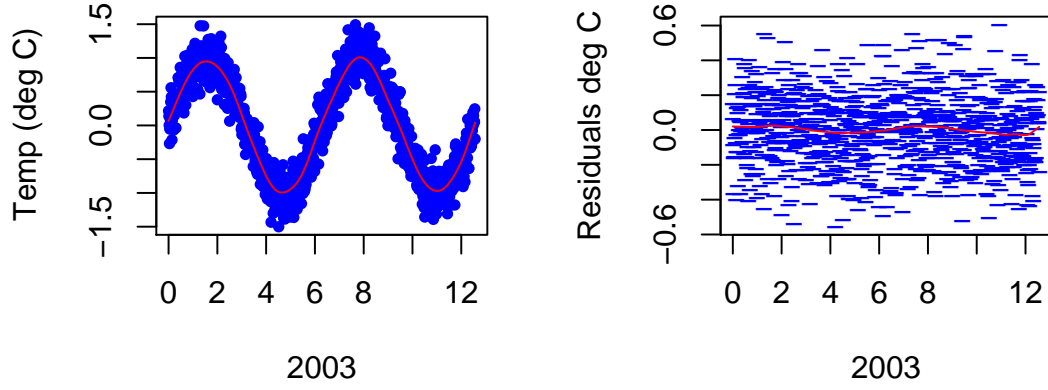


Figure 5: Smoothing of sinusoidal data (left) and of their residuals (right)

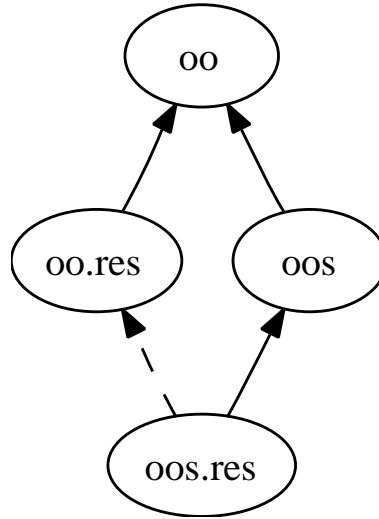


Figure 6: Cloning (dashed line) and delegation (solid line). Edges point from child to parent.

We have delegated variables and methods and overridden both. Thus, even with such a simple analysis, object orientation and delegation came into play. The reader can plainly see that smoothing and residual analysis were not crucial to the example and this example could be replaced with any statistical analysis including likelihood or other estimation techniques, time series, survival analysis, stochastic processes and so on. The key aspect is just that we are performing one-of analyses and do not want to set up an elaborate class infrastructure but just want to directly create objects to organize our calculations while relying on delegation and dispatch to eliminate redundancy.

3.2. Correlation, Fisher's Transform and Bootstrapping

The classical approach to confidence intervals for the correlation coefficient is to assume normality

of the underlying data and then use Fisher's transform to transform the correlation coefficient to an approximately normal random variable. Fisher showed that with the above assumption, transforming the correlation coefficient using the hyperbolic arc tangent function approximately yields a random variable distributed with an $\frac{N(p,1)}{\sqrt{(n-3)}}$ distribution. The transformed random variable can be used to create normal distribution confidence intervals and the procedure can be back transformed to get confidence intervals for the original correlation coefficient.

The modern approach to confidence intervals for the correlation coefficient is to use bootstrapping. This does not require the assumption of normality of the underlying distribution and requires no special purpose theory devoted solely to the correlation coefficient,

Let us calculate the 95% confidence intervals using Fisher's transform first. We use **GNP** and **Unemployed** from the Longley data set. First we retrieve the data set and extract the required columns into **x**. Then we set **n** to the number of cases and **pp** to the percentiles of interest. Finally we calculate the sample correlation and create a function to calculate the confidence interval using Fisher's Transform. This function not only returns the confidence interval but also stores it in **CI** in the receiver object.

```
> longley.ci <- proto(expr = {
+   data(longley)
+   x <- longley[, c("GNP", "Unemployed")]
+   n <- nrow(x)
+   pp <- c(0.025, 0.975)
+   corx <- cor(x)[1, 2]
+   ci <- function(.) ($.CI <- tanh(atanh($.corx) + qnorm($.pp)/sqrt($.n -
+       3)))
+ })
```

Now let us repeat this analysis using the bootstrapping approach. We derive a new object **longley.ci.boot** as child of **longley.ci**, setting the number of replications, **N**, and defining the procedure, **ci** which does the actual bootstrap calculation.

```
> longley.ci.boot <- longley.ci$proto({
+   N <- 2000
+   ci <- function(.) {
+       corx <- function(idx) cor($.x[idx, ])[1, 2]
+       samp <- replicate($.N, corx(sample($.n, replace = TRUE)))
+       ($.CI <- quantile(samp, $.pp))
+   }
+ })
```

In the example code below the first line runs the Fisher Transform procedure and the second runs the bootstrap procedure. Just to check that we have performed sufficient bootstrap iterations we rerun it in the third line, creating a delegated object on-the-fly running its **ci** method and then immediately throwing the object away.

From the three runs we see that the bootstrap confidence intervals are narrower than the Fisher Transform intervals despite the reduced assumptions and no special purpose theory. The fact that 8,000 replications give roughly the same result as 2,000 replications satisfies us that we have used a sufficient number of replications.

```
> longley.ci$ci()

[1] 0.1549766 0.8464304

> longley.ci.boot$ci()
```

```

      2.5%      97.5%
0.2413246 0.8228509

> longley.ci.boot$proto(N = 8000)$ci()

      2.5%      97.5%
0.2551993 0.8298349

```

We now have the results stored in two objects nicely organized for the future. Note, again, that despite the simplicity of the example we have used the features of object oriented programming, coupling the data and methods that go together, while relying on delegation and dispatch to avoid duplication.

3.3. Dendograms

In [Gentleman \(2002\)](#) there is an example of creating a binary tree for use as a dendogram. Here we directly define a binary tree with no setup at all. To keep it short we will create a binary tree of only two nodes having a root whose left branch points to a leaf. The leaf inherits the `value` and `incr` components from the root. The attractive feature is that the leaf be defined as a child of the parent using `that$proto` before the parent is even finished being defined. Compared to the cited S4 example there is no need to create an extra class to introduce the required level of indirection. `tree` is the root node of the tree. It has four components. A method `incr` which increments the `value` component, a `.Name`, the `value` component itself and the left branch `..left`. `..left` is itself a proto object which is a child of `tree`. The leaf inherits the `value` component from its parent, the root. As mentioned, at the time we define `..left` we have not even finished defining `tree` yet we are able to reference the yet to be defined parent via `that` as `proto` makes `that` available to inserted components as they are inserted.

```

> tree <- proto(expr = {
+   incr <- function(., val) .$value <- .$value + val
+   .Name <- "root"
+   value <- 3
+   ..left <- that$proto(expr = {
+     .Name = "leaf"
+   })
+ })

```

Although this is a simple structure we could have embedded additional children into `root` and `leaf` and so on recursively making the tree or dendogram arbitrarily complex.

Let us do some computation with this structure. We display the `value` fields in the two nodes, increment the value field in the root and then display the two nodes again to show that the leaf changed too.

```

> cat("root:", tree$value, "leaf:", tree$..left$value, "\n")

root: 3 leaf: 3

> tree$incr(1)
> cat("root:", tree$value, "leaf:", tree$..left$value, "\n")

root: 4 leaf: 4

```

If we increment `value` in `leaf` directly (see the example below where we increment it by 10) then it receives its own copy of `value` so from that point on `leaf` no longer inherits `value` from `root`. Thus incrementing the root by 5 no longer increments the `value` field in the leaf.

```

> tree$..left$incr(10)
> cat("root:", tree$value, "leaf:", tree$..left$value, "\n")

root: 4 leaf: 14

> tree$incr(5)
> cat("root:", tree$value, "leaf:", tree$..left$value, "\n")

root: 9 leaf: 14

```

4. Summary

The package **proto** provides an **S3** subclass of the **environment** class for constructing and manipulating object oriented systems without classes. It can also emulate classes even though classes are not a primitive structure. Its key design goals are to provide as simple and as thin a layer as practically possible while giving the user convenient access to this alternate object oriented paradigm. This paper describes, by example, how prototype programming can be carried out in R using **proto** and illustrates such usage. Delegation, cloning traits and general manipulation are all reviewed by example.

Computational details

The results in this paper were obtained using R 2.1.0 with the package **proto** 0.2–3. R itself and the **proto** package are available from CRAN at <http://CRAN.R-project.org/>. The GraphViz software is available from <http://www.graphviz.org>.

References

- Agesen O, Bak L, Chambers C, Chang BW, Hölzle U, Maloney J, Smith RB, Ungar D (1992). *The SELF Programmer's Reference Manual*. 2550 Garcia Avenue, Mountain View, CA 94043, USA. Version 2.0.
- Bengtsson H (2003). "The R.oo Package – Object-Oriented Programming with References Using Standard R Code." In K Hornik, F Leisch, A Zeileis (eds.), "Proceedings of the 3rd International Workshop on Distributed Statistical Computing," Vienna, Austria. URL <http://www.maths.lth.se/help/R/>.
- Chambers JM, Lang DT (2001). "Object-Oriented Programming in R." *R News*, **1**(3), 17–19. URL <http://CRAN.R-project.org/doc/Rnews/>.
- Ganser ER, North SC (2000). "An Open Graph Visualization System with Applications to Software Engineering." *Software-Practice and Experience*, **30**(11), 1203–1233. URL <http://www.graphviz.org>.
- Gentleman R (2002). "S4 Classes in 15 Pages More or Less." URL <http://www.bioconductor.org/develPage/guidelines/programming/S4Objects.pdf>.
- Lieberman H (1986). "Using Prototypical Objects to Implement Shared Behavior in Object-Oriented Systems." In N Meyrowitz (ed.), "Proceedings of the Conference on Object-Oriented Programming Systems, Languages, and Applications (OOPSLA)," volume 21(11), pp. 214–223. ACM Press, New York, NY. URL <http://citeseer.ist.psu.edu/lieberman86using.html>.

- Noble J, Taivalsaari A, Moore I (1999). *Prototype-Programming*. Springer-Verlag Singapore Pte. Ltd.
- Petzoldt T (2003). “R as a Simulation Platform in Ecological Modelling.” *R News*, **3**(3), 8–16. URL <http://CRAN.R-project.org/doc/Rnews/>.
- R Development Core Team (2005). *R: A language and environment for statistical computing*. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-900051-07-0, URL <http://www.R-project.org>.
- Taivalsaari A (1996). “Classes vs. Prototypes Some Philosophical and Historical Observations.” *Journal of Object-Oriented Programming*, **10**(7), 44–50. URL <http://www.csee.umbc.edu/331/resources/papers/Inheritance.pdf>.
- Tierney L (1990). *LISP-STAT: An Object-Oriented Environment for Statistical Computing and Dynamic Graphics*. Wiley, New York, NY.

A. Reference Card

Creation

proto `proto(., expr, envir, ...)` embeds the components specified in `expr` and/or `...` into the `proto` object or environment specified by `envir`. A new object is created if `envir` is omitted. The parent of the object is set to `.`. The parent object, `.`, defaults to the parent of `envir` or the current environment if `envir` is missing. `expr` and `...` default to empty specifications. The returned object will contain `that` and `super` variables referring to the object itself and the parent of the object, respectively.

Coercion

as.proto If `x` is a `proto` object or environment then `x` is returned as a `proto` object with the values of `that` and `super` inserted in the case of an environment or refreshed in the case of a `proto` object. If `x` is a list then additional arguments are available: `as.proto(x, envir, parent, FUN, all.names, ...)`. Each component of `x` is copied into `envir`. `envir` may be an environment or `proto` object. If it is missing a new `proto` object is created. If `all.names = FALSE` then only list components whose names do not begin with a dot are copied. If `FUN` is specified then, in addition, only list components `v` for which `FUN(v)` is `TRUE` are copied. If `parent` is specified then the resulting `proto` object will have that parent. Otherwise, it will have the parent of `envir` if `envir` was specified. If neither are specified the parent defaults to the current environment.

Standard methods

\$ `obj$x` searches `proto` object `obj` for `x`. If the name `x` does not begin with two dots then ancestors are searched if the name is not found in `obj`. If `x` is a variable or if `obj` is `super` or `that` then `x` is returned. Otherwise, the call `obj$x(...)` is equivalent to the call `get("x", obj)(obj, ...)`. If it is desired to return a method as a value rather than in the context of a call then use `get("x", obj)` (or `obj[["x"]]` if `x` is known to be directly in `obj`) rather than `$` syntax.

\$<- `obj$x <- value` sets `x` in `proto` object `obj` to `value` creating `x` if not present. If `obj` is `super` then a side effect is to set the parent of `obj` to `value`.

is.proto(x) returns `TRUE` if `x` is a `proto` object and otherwise returns `FALSE`.

Utilities

dot.proto `dot.proto(e, file, control)` creates a input file which for the GraphViz dot program which generates an ancestor tree among all `proto` objects in environment or `proto` object `e`. `e` defaults to the current environment and `file` defaults to the standard output. `control` is an optional list of display parameters. The components are `include` and `arrow.from.child`. `include` is a string of GraphViz dot commands to be included in the output. `arrow.from.child` causes arrows to point from children to parents if `TRUE` (default) and otherwise from parents to children.