# Package 'SurvivalClusteringTree'

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Type Package

Title Clustering Analysis Using Survival Tree and Forest Algorithms

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Maintainer Lu You <lu.you@epi.usf.edu>

**Description** 

An outcome-guided algorithm is developed to identify clusters of samples with similar characteristics and survival rate. The algorithm first builds a random forest and then defines distances between samples based on the fitted random forest. Given the distances, we can apply hierarchical clustering algorithms to define clusters. Details about this method is described in <a href="https://github.com/luyouepiusf/SurvivalClusteringTree">https://github.com/luyouepiusf/SurvivalClusteringTree</a>.

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License GPL (>= 2)

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Imports Rcpp, survival, dplyr, grid, gridtext, formula.tools

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VignetteBuilder knitr

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**Author** Lu You [aut, cre] (Created the package. Maintains the package.),

Lauric Ferrat [aut] (Added functionality. Revised the package. Wrote the vignette.),

Hemang Parikh [aut] (Checked and revised the package.),

Yanan Huo [aut] (Revised plotting functions of the package.),

Yuting Yang [aut] (Added some data frame features.),

Jeffrey Krischer [ctb] (Supervisor the medical research. Coauthor of the medical manuscript.),

Maria Redondo [ctb] (Principal investigators of the medical research. Coauthor of the medical manuscript.),

Richard Oram [ctb] (Coauthor of the medical manuscript.),

Andrea Steck [ctb] (Coauthor of the medical manuscript.)

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# **Contents**

	SurvivalClusteringTree-package	2		
	plot_survival_tree			
	predict_distance_forest			
	predict_distance_forest_matrix			
	predict_distance_tree			
	predict_distance_tree_matrix			
	predict_weights			
	predict_weights_matrix			
	survival_forest	12		
	survival_forest_matrix	14		
	survival_tree	15		
	survival_tree_matrix			
Index		19		
SurvivalClusteringTree-package  Clustering Analysis Using Survival Tree and Forest Algorithms				

# Description

An outcome-guided algorithm is developed to identify clusters of samples with similar characteristics and survival rate. The algorithm first builds a random forest and then defines distances between samples based on the fitted random forest. Given the distances, we can apply hierarchical clustering algorithms to define clusters. Details about this method is described in <a href="https://github.com/luyouepiusf/SurvivalClusteringTra">https://github.com/luyouepiusf/SurvivalClusteringTra</a>

## **Package Content**

Index of help topics:

```
SurvivalClusteringTree-package
                        Clustering Analysis Using Survival Tree and
                        Forest Algorithms
                        Visualize the Fitted Survival Tree
plot_survival_tree
predict_distance_forest
                        Predict Distances Between Samples Based on a
                        Survival Forest Fit (Data Supplied as a
                        Dataframe)
predict_distance_forest_matrix
                        Predict Distances Between Samples Based on a
                        Survival Forest Fit (Data Supplied as Matrices)
predict_distance_tree
                        Predict Distances Between Samples Based on a
                        Survival Tree Fit (Data Supplied as a
                        Dataframe)
predict_distance_tree_matrix
                        Predict Distances Between Samples Based on a
```

plot\_survival\_tree 3

Survival Tree Fit (Data Supplied as Matrices)

Based on a Survival Tree Fit (Data Supplied as

a Dataframe)

predict\_weights\_matrix

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as

Matrices)

Dataframe)

survival\_forest\_matrix

Build a Survival Forest (Data Supplied as

Matrices)

survival\_tree
Build a Survival Tree (Data Supplied as a

Dataframe)

Matrices)

## Maintainer

Lu You <lu.you@epi.usf.edu>

## Author(s)

NA

plot\_survival\_tree

Visualize the Fitted Survival Tree

# **Description**

Visualize the Fitted Survival Tree

# Usage

```
plot_survival_tree(survival_tree, cex = 0.75)
```

# Arguments

survival\_tree a fitted survival tree object.

cex numeric character expansion factor.

#### Value

No return value, called for generating graphical outputs.

## **Examples**

```
library(survival)
a_survival_tree<-
survival_tree(
survival_outcome=Surv(time,status==2)~1,
numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
factor_predictor=~as.factor(sex),
data=lung)
plot_survival_tree(a_survival_tree)</pre>
```

predict\_distance\_forest

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as a Dataframe)

# **Description**

The function predict\_distance\_forest predicts distances between samples based on a survival forest fit.

# Usage

```
predict_distance_forest(
   survival_forest,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

## **Arguments**

survival\_forest

a fitted survival forest

numeric\_predictor

a formula specifying the numeric predictors. As in ~x1+x2+x3, the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random forest.

## **Details**

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as a Dataframe)

#### Value

A list. mean\_distance is the mean distance matrix. sum\_distance is the matrix that sums the distances between samples. sum\_non\_na is the matrix of the number of non NA distances being averaged.

## **Examples**

```
library(survival)
a_survival_forest<-
survival_forest(
    survival_outcome=Surv(time,status==2)~1,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung,nboot=20)
a_distance<-
predict_distance_forest(
    a_survival_forest,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)</pre>
```

```
predict_distance_forest_matrix
```

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as Matrices)

## **Description**

The function predict\_distance\_forest\_matrix predicts distances between samples based on a survival forest fit.

## Usage

```
predict_distance_forest_matrix(
  survival_forest,
 matrix_numeric,
 matrix_factor,
 missing = "omit"
)
```

#### **Arguments**

survival forest

a fitted survival forest

matrix\_numeric numeric predictors, a numeric matrix.matrix\_numeric[i,j] is the jth numeric predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor

factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random

forest.

#### **Details**

Predict Distances Between Samples Based on a Survival Forest Fit (Data Supplied as Matrices) (Works for raw matrices)

## Value

A list. mean\_distance is the mean distance matrix. sum\_distance is the matrix that sums the distances between samples. sum\_non\_na is the matrix of the number of non NA distances being averaged.

# **Examples**

```
library(survival)
a_survival_forest<-
 survival_forest_matrix(
    time=lung$time,
    event=lung$status==2,
   matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
   matrix_factor=data.matrix(lung[,5,drop=F]),
   nboot=20)
a_distance<-
 predict_distance_forest_matrix(
```

predict\_distance\_tree 7

```
a_survival_forest,
matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
matrix_factor=data.matrix(lung[,5,drop=F]))
```

predict\_distance\_tree Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as a Dataframe)

## **Description**

The function predict\_distance\_tree predicts distances between samples based on a survival tree fit.

# Usage

```
predict_distance_tree(
   survival_tree,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

# Arguments

```
survival_tree a fitted survival tree
numeric_predictor
```

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random tree.

## **Details**

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as a Dataframe)

#### Value

A list. node\_distance gives the distance matrix between nodes. ind\_distance gives the distance matrix between samples. ind\_weights gives the weights of samples in each node.

# **Examples**

```
library(survival)
a_survival_tree<-
survival_tree(
    survival_outcome=Surv(time, status==2)~1,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)
a_distance<-
predict_distance_tree(
    a_survival_tree,
    numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
    factor_predictor=~as.factor(sex),
    data=lung)</pre>
```

```
predict_distance_tree_matrix
```

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as Matrices)

# **Description**

The function predict\_distance\_tree\_matrix predicts distances between samples based on a survival tree fit.

# Usage

```
predict_distance_tree_matrix(
   survival_tree,
   matrix_numeric,
   matrix_factor,
   missing = "omit"
)
```

# **Arguments**

```
survival_tree a fitted survival tree
```

predict\_weights 9

 $\verb|matrix_numeric| | numeric| predictors, a numeric| matrix|. \\ \verb|matrix_numeric| | i,j| is the jth numeric| | i,j| is the jth n$ 

predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor

of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing a character value that specifies the handling of missing data. If missing=="omit",

samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random

tree.

#### **Details**

Predict Distances Between Samples Based on a Survival Tree Fit (Data Supplied as Matrices) (Works for raw matrices)

#### Value

A list. node\_distance gives the distance matrix between nodes. ind\_distance gives the distance matrix between samples. ind\_weights gives the weights of samples in each node.

# **Examples**

```
library(survival)
a_survival_tree<-
survival_tree_matrix(
    time=lung$time,
    event=lung$status==2,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))
a_distance<-
predict_distance_tree_matrix(
    a_survival_tree,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))</pre>
```

predict\_weights

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as a Dataframe)

#### **Description**

The function predict\_weights predicts weights of samples in terminal nodes based on a survival tree fit.

10 predict\_weights

## Usage

```
predict_weights(
   survival_tree,
   numeric_predictor,
   factor_predictor,
   data,
   missing = "omit"
)
```

## **Arguments**

survival\_tree a fitted survival tree
numeric\_predictor

a formula specifying the numeric predictors. As in ~x1+x2+x3, the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample. The best practice is to use the same variables names in the training and testing dataset.

data

the dataframe (test data) that stores the outcome and predictor variables. Variables in the global environment will be used if data is missing.

missing

a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained random tree.

# Details

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as a Dataframe)

# Value

A weight matrix representing the weights of samples in each node.

# **Examples**

```
library(survival)
a_survival_tree<-
survival_tree(
survival_outcome=Surv(time,status==2)~1,
numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,</pre>
```

predict\_weights\_matrix

```
factor_predictor=~as.factor(sex),
   data=lung)
a_weight<-
predict_weights(
   a_survival_tree,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),
   data=lung)</pre>
```

predict\_weights\_matrix

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as Matrices)

11

# **Description**

The function predict\_weights\_matrix predicts weights of samples in terminal nodes based on a survival tree fit.

# Usage

```
predict_weights_matrix(
   survival_tree,
   matrix_numeric,
   matrix_factor,
   missing = "majority"
)
```

#### **Arguments**

survival\_tree a fitted survival tree

matrix\_numeric numeric predictors, a numeric matrix.matrix\_numeric[i,j] is the jth numeric

predictor of the ith sample. The best practice is to have the same column names

in the training and testing dataset.

matrix\_factor factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor

of the ith sample. The best practice is to have the same column names in the

training and testing dataset.

missing a character value that specifies the handling of missing data. If missing="omit",

samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. The best practice is to use the same method as the trained tree.

#### **Details**

Predict Weights of Samples in Terminal Nodes Based on a Survival Tree Fit (Data Supplied as Matrices)

12 survival\_forest

# Value

A weight matrix representing the weights of samples in each node.

## **Examples**

```
library(survival)
a_survival_tree<-
survival_tree_matrix(
    time=lung$time,
    event=lung$status==2,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))
a_weight<-
predict_weights_matrix(
    a_survival_tree,
    matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
    matrix_factor=data.matrix(lung[,5,drop=FALSE]))</pre>
```

survival\_forest

Build a Survival Forest (Data Supplied as a Dataframe)

# **Description**

The function survival\_forest build a survival forest given the survival outcomes and predictors of numeric and factor variables.

# Usage

```
survival_forest(
   survival_outcome,
   numeric_predictor,
   factor_predictor,
   weights = NULL,
   data,
   significance = 0.05,
   min_weights = 50,
   missing = "omit",
   test_type = "univariate",
   cut_type = 0,
   nboot = 100,
   seed = 0
)
```

# Arguments

```
survival_outcome
```

a Surv object of right-censored outcomes. In Surv(time, event), time[i] is the survival time of the ith sample. event[i] is the survival event of the ith sample.

survival\_forest 13

numeric\_predictor

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample.

factor\_predictor

a formula specifying the numeric predictors. As in  $\sim$ z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i],

and z3[i] are the predictors of the ith sample.

weights sample weights, a numeric vector. weights[i] is the weight of the ith sample.

data the dataframe that stores the outcome and predictor variables. Variables in the

global environment will be used if data is missing.

significance significance threshold, a numeric value. Stop the splitting algorithm when no

splits give a p-value smaller than significance.

min\_weights minimum weight threshold, a numeric value. The weights in a node are greater

than min\_weights.

missing a character value that specifies the handling of missing data. If missing="omit",

samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of

branch nodes.

test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

cut\_type an integer value that specifies how to cut between two numeric values. If cut\_type==0,

then cut at the ends. If cut\_type==1, then cut from the middle. If cut\_type==2,

then cut randomly between the two values.

nboot an integer value that specifies the number of bootstrap replications.

seed an integer value that specifies the seed.

#### **Details**

Build a Survival Forest (Data Supplied as a Dataframe)

## Value

A list containing the information of the survival forest fit.

# **Examples**

```
library(survival)
a_survival_forest<-
survival_forest(
   survival_outcome=Surv(time, status==2)~1,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),</pre>
```

```
data=lung,nboot=20)
```

```
survival_forest_matrix
```

Build a Survival Forest (Data Supplied as Matrices)

# Description

The function survival\_forest\_matrix build a survival forest given the survival outcomes and predictors of numeric and factor variables.

# Usage

```
survival_forest_matrix(
    time,
    event,
    matrix_numeric,
    matrix_factor,
    weights = rep(1, length(time)),
    significance = 0.05,
    min_weights = 50,
    missing = "omit",
    test_type = "univariate",
    cut_type = 0,
    nboot = 100,
    seed = 0
)
```

# **Arguments**

time	survival times, a numeric vector. time[i] is the survival time of the ith sample.
event	survival events, a logical vector. event $[i]$ is the survival event of the ith sample.
matrix_numeric	numeric predictors, a numeric matrix. $matrix\_numeric[i,j]$ is the jth numeric predictor of the ith sample.
matrix_factor	factor predictors, a character matrix. $matrix\_factor[i,j]$ is the jth predictor of the ith sample.
weights	sample weights, a numeric vector. weights[i] is the weight of the ith sample.
significance	significance threshold, a numeric value. Stop the splitting algorithm when no splits give a p-value smaller than significance.
min_weights	minimum weight threshold, a numeric value. The weights in a node are greater than $\min_{w \in \mathbb{R}} \mathbb{R}$

survival\_tree 15

missing a character value that specifies the handling of missing data. If missing="omit", samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes.

test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

cut\_type an integer value that specifies how to cut between two numeric values. If cut\_type==0,

then cut at the ends. If cut\_type==1, then cut from the middle. If cut\_type==2,

then cut randomly between the two values.

nboot an integer value that specifies the number of bootstrap replications.

seed an integer value that specifies the seed.

#### **Details**

Build a Survival Forest (Data Supplied as Matrices)

#### Value

A list containing the information of the survival forest fit.

# **Examples**

```
library(survival)
a_survival_forest<-
survival_forest_matrix(
   time=lung$time,
   event=lung$status==2,
   matrix_numeric=data.matrix(lung[,c(4,6:9),drop=FALSE]),
   matrix_factor=data.matrix(lung[,5,drop=FALSE]),
   nboot=20)</pre>
```

survival\_tree

Build a Survival Tree (Data Supplied as a Dataframe)

## **Description**

The function survival\_tree build a survival tree given the survival outcomes and predictors of numeric and factor variables.

16 survival\_tree

## Usage

```
survival_tree(
   survival_outcome,
   numeric_predictor,
   factor_predictor,
   weights = NULL,
   data,
   significance = 0.05,
   min_weights = 50,
   missing = "omit",
   test_type = "univariate",
   cut_type = 0
)
```

## **Arguments**

survival\_outcome

a Surv object of right-censored outcomes. In Surv(time, event), time[i] is the survival time of the ith sample. event[i] is the survival event of the ith sample.

numeric\_predictor

a formula specifying the numeric predictors. As in  $\sim x1+x2+x3$ , the three numeric variables x1, x2, and x3 are included as numeric predictors. x1[i], x2[i], and x3[i] are the predictors of the ith sample.

factor\_predictor

a formula specifying the numeric predictors. As in ~z1+z2+z3, the three character variables z1, z2, and z3 are included as factor predictors. z1[i], z2[i], and z3[i] are the predictors of the ith sample.

weights sample weights, a numeric vector. weights[i] is the weight of the ith sample.

data the dataframe that stores the outcome and predictor variables. Variables in the

global environment will be used if data is missing.

significance significance threshold, a numeric value. Stop the splitting algorithm when no

splits give a p-value smaller than significance.

min\_weights minimum weight threshold, a numeric value. The weights in a node are greater

than min\_weights.

missing a character value that specifies the handling of missing data. If missing=="omit",

samples with missing values in the splitting variables will be discarded. If missing="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing="weighted", samples with missing values in the splitting variables will be weighted by the weights of

branch nodes.

test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate",

then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method.

survival\_tree\_matrix 17

cut\_type

an integer value that specifies how to cut between two numeric values. If cut\_type==0, then cut at the ends. If cut\_type==1, then cut from the middle. If cut\_type==2, then cut randomly between the two values.

## **Details**

Build a Survival Tree (Data Supplied as a Dataframe)

## Value

A list containing the information of the survival tree fit.

# **Examples**

```
library(survival)
a_survival_tree<-
survival_tree(
   survival_outcome=Surv(time, status==2)~1,
   numeric_predictor=~age+ph.ecog+ph.karno+pat.karno+meal.cal,
   factor_predictor=~as.factor(sex),
   data=lung)</pre>
```

survival\_tree\_matrix Build a Survival Tree (Data Supplied as Matrices)

# Description

The function survival\_tree\_matrix build a survival tree given the survival outcomes and predictors of numeric and factor variables.

# Usage

```
survival_tree_matrix(
   time,
   event,
   matrix_numeric,
   matrix_factor,
   weights = rep(1, length(time)),
   significance = 0.05,
   min_weights = 50,
   missing = "omit",
   test_type = "univariate",
   cut_type = 0
)
```

18 survival\_tree\_matrix

#### **Arguments**

time survival times, a numeric vector. time[i] is the survival time of the ith sample. survival events, a logical vector. event[i] is the survival event of the ith sample. event matrix\_numeric numeric predictors, a numeric matrix\_numeric[i,j] is the jth numeric predictor of the ith sample. matrix\_factor factor predictors, a character matrix. matrix\_factor[i,j] is the jth predictor of the ith sample. weights sample weights, a numeric vector. weights[i] is the weight of the ith sample. significance threshold, a numeric value. Stop the splitting algorithm when no significance splits give a p-value smaller than significance. min\_weights minimum weight threshold, a numeric value. The weights in a node are greater than min\_weights. missing a character value that specifies the handling of missing data. If missing=="omit", samples with missing values in the splitting variables will be discarded. If missing=="majority", samples with missing values in the splitting variables will be assigned to the majority node. If missing=="weighted", samples with missing values in the splitting variables will be weighted by the weights of branch nodes. test\_type a character value that specifies the type of statistical tests. If test\_type=="univariate", then it performs a log-rank test without p-value adjustments. If test\_type is in p.adjust.methods, i.e., one of holm, hochberg, hommel, bonferroni, BH, BY, or fdr, then the p-values will be adjusted using the corresponding method. cut\_type

an integer value that specifies how to cut between two numeric values. If cut\_type==0,

then cut at the ends. If cut\_type==1, then cut from the middle. If cut\_type==2,

then cut randomly between the two values.

# **Details**

Build a Survival Tree (Data Supplied as Matrices)

# Value

A list containing the information of the survival tree fit.

# **Index**

```
* package
    SurvivalClusteringTree-package, 2
plot_survival_tree, 3
predict_distance_forest, 4
\verb|predict_distance_forest_matrix|, 5
predict_distance_tree, 7
\verb|predict_distance_tree_matrix|, 8
predict_weights, 9
predict_weights_matrix, 11
survival_forest, 12
survival_forest_matrix, 14
survival_tree, 15
survival_tree_matrix, 17
SurvivalClusteringTree
        (SurvivalClusteringTree-package),
{\tt SurvivalClusteringTree-package, 2}
```