# Package 'elastes'

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Type Package

**Title** Elastic Full Procrustes Means for Sparse and Irregular Planar Curves

Version 0.1.7

Description Provides functions for the computation of functional elastic shape means over sets of open planar curves. The package is particularly suitable for settings where these curves are only sparsely and irregularly observed. It uses a novel approach for elastic shape mean estimation, where planar curves are treated as complex functions and a full Procrustes mean is estimated from the corresponding smoothed Hermitian covariance surface. This is combined with the methods for elastic mean estimation proposed in Steyer, Stöcker, Greven (2022) <doi:10.1111/biom.13706>. See Stöcker et. al. (2022) <doi:10.48550/arXiv.2203.10522> for details.

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compute\_elastic\_shape\_mean

Compute an elastic full Procrustes mean for a collection of curves

# **Description**

Computes an elastic full Procrustes mean for curves stored in data\_curves. Constructor function for class elastic\_shape\_mean.

# Usage

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```
compute_elastic_shape_mean(
  data_curves,
  knots = seq(0, 1, len = 13),
  type = c("smooth", "polygon"),
  penalty = 2,
  var_type = c("smooth", "constant", "zero"),
  pfit_method = c("smooth", "polygon"),
  smooth_warp = function(i) 0,
  eps = 0.05,
  max_iter = 50,
  verbose = FALSE,
  cluster = NULL
)
```

### **Arguments**

data\_curves list of data. frames with observed points in each row. Each variable is one coordinate direction. If there is a variable t, it is treated as the time parametrization,

not as an additional coordinate.

knots set of knots for the mean spline curve

if "smooth" linear srv-splines are used which results in a differentiable mean type curve if "polygon" the mean will be piecewise linear. the penalty to use in the covariance smoothing step. use '-1' for no penalty. penalty (experimental) assume "smooth", "constant" or "zero" measurement-error varivar\_type ance along t pfit\_method (experimental) "smooth" or "polygon" (experimental) controls the weighting of original and smoothed observations smooth\_warp over the iterations, if pfit\_method == "smooth". the algorithm stops if L2 norm of coefficients changes by less than eps eps

max\_iter maximal number of iterations

verbose print iterations

(experimental) use the parallel package for faster computation cluster

#### Value

an object of class elastic\_shape\_mean, which is a list with entries

"smooth" if mean was modeled using linear srv-splines, "polygon" if constant type

srv-splines

coefs spline coefficients

knots spline knots

variance sample elastic shape variance

data\_curves list of data. frames with observed points in each row. First variable t gives

> the initial parametrization, second variable t\_optim the optimal parametrization when the curve is aligned to the mean. Has the attributes 'rotation', 'scaling', 'translation' and 'dist\_to\_mean'. Use get\_procrustes\_fit to get the elastic

full Procrustes fit.

see fit\_mean fit

# **Examples**

```
curve <- function(t){</pre>
  rbind(t*cos(13*t), t*sin(13*t))
}
set.seed(18)
data_curves <- lapply(1:4, function(i){</pre>
  m <- sample(10:15, 1)
  delta <- abs(rnorm(m, mean = 1, sd = 0.05))
  t <- cumsum(delta)/sum(delta)</pre>
  data.frame(t(curve(t)) + 0.07*t*matrix(cumsum(rnorm(2*length(delta))),
              ncol = 2)
})
#randomly rotate and scale curves
rand_scale <- function(curve){ ( 0.5 + runif(1) ) * curve }</pre>
rand_rotate <- function(curve){</pre>
  names <- colnames(curve)</pre>
```

```
theta <- 2*pi*runif(1)</pre>
  mat <- matrix(c(cos(theta), sin(theta), -sin(theta), cos(theta)), nrow = 2, ncol = 2)</pre>
  curve.rot <- as.matrix(curve) %*% t(mat)</pre>
  curve.rot <- as.data.frame(curve.rot)</pre>
  colnames(curve.rot) <- names</pre>
  return(curve.rot)
data_curves <- lapply(data_curves, rand_scale)</pre>
data_curves <- lapply(data_curves, rand_rotate)</pre>
#compute smooth procrustes mean with 2 order penalty
knots \leftarrow seq(0,1, length = 11)
elastic_shape_mean <- compute_elastic_shape_mean(</pre>
    data_curves,
    knots = knots,
    type = "smooth",
    penalty = 2
plot(elastic_shape_mean)
```

fit\_alignment\_proc2d Optimal rotation and scaling alignment to a smooth curve

# **Description**

Finds optimal rotation and scaling alignment for a discrete open srv curve to a smooth curve

# Usage

```
fit_alignment_proc2d(
   q,
   type,
   knots,
   var_type,
   coefs.compl,
   method,
   cov_fit,
   pca,
   L
)
```

# Arguments

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```
coefs.compl complex coefficients of smooth curve
method temp
cov_fit temp
pca temp
L temp
```

#### Value

optimal rotation G and scaling b

fit\_mean

Mean estimation for open planar curves.

# Description

Fits an elastic full Procrustes mean for open, planar curves. Is usually called from compute\_elastic\_shape\_mean.

# Usage

```
fit_mean(
    srv_data_curves,
    knots,
    penalty,
    var_type,
    pfit_method,
    max_iter,
    type,
    eps,
    cluster,
    verbose,
    smooth_warp
)
```

# Arguments

```
srv_data_curves
```

list of data. frames with srv vectors in each row.curves

knots set of knots for the mean spline curve

penalty the penalty to use in the covariance smoothing step. use '-1' for no penalty.

var\_type (experimental) assume "smooth", "constant" or "zero" measurement-error vari-

ance along t

pfit\_method (experimental) "smooth" or "polygon"

max\_iter maximal number of iterations

type if "smooth" linear srv-splines are used which results in a differentiable mean

curve if "polygon" the mean will be piecewise linear.

get\_center

eps the algorithm stops if L2 norm of coefficients changes less

cluster a cluster object for use in the bam call

verbose print iterations

smooth\_warp (experimental) controls the weighting of original and smoothed observations

over the iterations, if pfit\_method == "smooth".

#### Value

a list with entries

type "smooth" or "polygon"

coefs coefs srv spline coefficients of the estimated mean

knots spline knots

penalty penalty used in the covariance estimation

distances distances to mean

fit a list containing t\_optimsoptimal parametrizations G\_optimsoptimal rota-

tions b\_optimsoptimal scalings n\_optimsoptimal re-normalization n\_iternumber of iterations until convergence gram the mean basis Gram matrix, cov\_fit the covariance smoothing objects in the final iteration, cov\_pca cov coef matrix pca object in the final iteration and pfit\_coefs the mean basis coefs of smoothed

pfits in the final iteration

get\_center Calculate the center of a curve

# **Description**

Calculate the center of a curve

#### Usage

get\_center(curve)

# Arguments

curve a data.frame with observed points in each row. Each variable is one coordi-

nate direction. If there is a variable t, t\_optim or id, it is treated as the time

parametrization, not as an additional coordinate.

#### Value

The average of observed points in curve.

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	-1:			
get	a1	Sτ	an	ce

Distance to a smooth curve

# **Description**

Finds the distance of a discrete open srv curve to a smooth curve

# Usage

```
get_distance(srv_curve, s, q, eps = 10 * .Machine$double.eps)
```

# **Arguments**

srv_curve	srv transformation of the smooth curve, needs to be vectorized
S	time points for q, first has to be 0, last has to be 1
q	square root velocity vectors, one less than time points in s
eps	convergence tolerance

# Value

distance between srv\_curve and q

get\_evals

Evaluate a curve on a grid

# Description

Evaluate a curve on a grid

# Usage

```
get_evals(curve, t_grid = NULL, ...)
## S3 method for class 'data.frame'
get_evals(curve, t_grid = NULL, ...)
## S3 method for class 'elastic_shape_mean'
get_evals(curve, t_grid = NULL, centering = TRUE, srv = FALSE, ...)
```

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# **Arguments**

curve a one parameter function which is to be evaluated on a grid

t\_grid the curve is evaluated at the values in t\_grid, first value needs to be 0, last value

needs to be 1. If t\_grid = NULL, a default regular grid with grid length 0.01 is

chosen

... other arguments

centering TRUE if curves shall be centered srv TRUE if SRV curve shall be evaluated

#### Value

a data. frame with evaluations of the curve at the values in t\_grid in its rows.

#### See Also

See get\_evals for the original code.

#### **Examples**

```
curve <- function(t){c(t*sin(10*t), t*cos(10*t))}
plot(get_evals(curve), type = "b")</pre>
```

get\_optimal\_t

Finds optimal alignment for discrete open curves

# **Description**

Finds optimal aligned time points for srv curve q to srv curve p using coordinate wise optimization.

# Usage

```
get_optimal_t(srv_procrustes_curves, coefs, t_optims, type, knots, eps, i)
```

# **Arguments**

srv\_procrustes\_curves

scaling and rotation aligned srv curves

coefs mean coefficients

t\_optims current optimal parametrization

type "smooth" or "polygon" knots mean basis knots eps convergence tolerance

i current iteration

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# Value

optimal time points for srv\_data\_curves, without first value 0 and last value 1 optimal time points have the distance of the observation to the srv\_curve as an attribute

get\_polygon\_length

Calculate the polygon length of a curve

# Description

Calculate the polygon length of a curve

# Usage

```
get_polygon_length(curve)
```

# **Arguments**

curve

a data.frame with observed points in each row. Each variable is one coordinate direction. If there is a variable t, t\_optim or id, it is treated as the time parametrization, not as an additional coordinate.

#### Value

The length of curve, treating it as a polygon.

get\_procrustes\_fit

Get Procrustes data curve from mean object.

# **Description**

Compute the Procrustes aligned data curve...

# Usage

```
get_procrustes_fit(data_curve)
```

# **Arguments**

data\_curve

A data.frame in an elastic\_shape\_mean object.

# Value

Aligned data\_curve as a data.frame.

```
get_Procrustes_fit_from_param
```

Helper functions for calculating Procrustes data curve from rotation, scaling and translation parameters.

# **Description**

Compute the Procrustes fit given optimal rotation, scaling and translation.

### Usage

```
get_procrustes_fit_from_param(
  data_curve,
  rot,
  scale,
  plength,
  trans,
  norm_factor
)
```

# **Arguments**

data\_curve A data.frame with observed points on a curve. Each row is one point, each

variable one coordinate direction. If there is a variable t, it is treated as the time

parametrization, not as an additional coordinate.

rot The rotation (in radian).

scale The scaling.

plength The polygon length of the original curve.

trans The translation.

norm\_factor The normalization factor from the smooth curve estimate.

```
plot.elastic_shape_mean
```

Plot method for planar elastic Procrustes mean curves

# **Description**

Plots objects of class elastic\_shape\_mean.

#### Usage

```
## S3 method for class 'elastic_shape_mean'
plot(x, srv = FALSE, centering = TRUE, asp = 1, col = "red", ...)
```

# Arguments

Х	object of class elastic_shaped_mean, usually a result of a call to compute_elastic_shape_mean
srv	TRUE if the SRV curve should be plotted
centering	TRUE if mean and pfits should be centered
asp	numeric, giving the aspect ratio of the two coordinates, see plot.window for details.
col	color of the mean curve.
	further plotting parameters.

# Value

No return value, called for side effects.

# See Also

For examples see documentation of  $compute\_elastic\_shape\_mean$ . See  $plot.elastic\_mean$  for the original code.

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