# Package 'lphom'

July 22, 2025

Type Package

Title Ecological Inference by Linear Programming under Homogeneity

Version 0.3.5-6

Description Provides a bunch of algorithms based on linear programming for estimating, under the homogeneity hypothesis, RxC ecological contingency tables (or vote transition matrices) using mainly aggregate data (from voting units). References:
Pavía and Romero (2024) <doi:10.1177/00491241221092725>. Pavía and Romero (2024) <doi:10.1093/jrsssa/qnae013>. Pavía (2023) <doi:10.1007/s43545-023-00658-y>. Pavía (2024) <doi:10.1080/0022250X.2024.2423943>. Pavía (2024) <doi:10.1177/07591063241277064>. Pavía and Penadés (2024). A bottom-up approach for ecological inference. Romero, Pavía, Martín and Romero (2020) <doi:10.1080/02664763.2020.1804842>. Acknowledgements: The authors wish to thank Consellería de Educación, Universidades y Empleo, Generalitat Va-

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**Encoding** UTF-8

**Imports** stats, lpSolve (>= 5.6.18)

**Depends** R (>= 3.5.0)

**Suggests** ggplot2, scales, Rsymphony (>= 0.1-30)

LazyData true

RoxygenNote 7.2.3

NeedsCompilation no

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adjust2integers Integer-adjusting of outputs of the lphom-family functions

## Description

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Takes as input an object generated with an algorithm of the lphom-family (lphom, tslphom, nslphom, tslphom\_dual, nslphom\_joint, ....) and returns as output an object of the same class as the input object with all their relevant estimated (local and global) transfer matrices of counts updated to their closest integer matrices. The rest of main components of the object are also accordingly updated.

## Usage

```
adjust2integers(x, solver = "symphony", ...)
```

### Arguments

х	An object output of a lphom family algorithm
solver	A character string indicating the linear programming solver to be used to approx- imate to the closest integer solution, only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used.
	Other arguments passed on the method. Not currently used.

#### Details

The updating of the matrices is performed using integer linear programming after imposing all the row- and column-constraints.

## Value

An object of the same class and components as x with its components properly updated after adjusting the estimated count matrices in x using integer linear programming

## Author(s)

```
Jose M. Pavia, <pavia@uv.es>
```

#### References

Pavia, JM (2024). Integer estimation of inner-cell values in RxC ecological tables. *Bulletin of Sociological Methodology*, 164(1), 97-121. doi:10.1177/07591063241277064.

#### Examples

```
mt.ts <- tslphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
mt.ts <- adjust2integers(mt.ts, solver = "lp_solve")</pre>
```

confidence\_intervals\_pjk

Confidence Intervals for lphom estimates

## Description

Estimates confidence intervals for the (vote) transfer probabilities obtained with lphom()

#### Usage

```
confidence_intervals_pjk(lphom.object, level = 0.9, num.d = 11, B = 30)
```

#### Arguments

lphom.object	An object output of the <b>lphom</b> () function.
level	A number between 0 and 1 to be used as level of confidence for the intervals. By default 0.90
num.d	Number maximum of different disturbances, d, to be initially considered. Positive integer greater than or equal to 5. By default, 11.
В	Integer that determines the number of simulations to be performed for each dis- turbance value. By default, 30.

#### Value

A list with the following components

TM.estimation	Transfer matrix of probability point estimates.
TM.lower	Transfer matrix of lower values for the probability estimates.
TM.upper	Transfer matrix of upper values for the probability estimates.
level	Confidence level used when computing the confidence intervals.

## Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

## References

Romero, R, Pavia, JM, Martin, J and Romero G (2020). Assessing uncertainty of voter transitions estimated from aggregated data. Application to the 2017 French presidential election. *Journal of Applied Statistics*, 47(13-15), 2711-2736. doi:10.1080/02664763.2020.1804842

Martin, J (2020). Analisis de la incertidumbre en la estimacion de la movilidad electoral mediante el procedimiento plhom. PhD Dissertation.

### Examples

```
# Do not run
# mt.lphom <- lphom(France2017P[, 1:8], France2017P[, 9:12], "raw", NULL, FALSE)
# set.seed(533423)
# confidence_intervals_pjk(mt.lphom, level = 0.90, num.d = 5, B = 8)</pre>
```

error\_lphom

Global error of a lphom estimated table

## Description

Estimation of the error index (EI) of a RxC vote transfer matrix obtained with lphom()

#### Usage

```
error_lphom(
    lphom.object,
    upper.alfa = 0.1,
    show.plot = TRUE,
    num.d = 11,
    B = 30
)
```

## error\_lphom

#### Arguments

lphom.object	An object output of the <b>lphom</b> () function.
upper.alfa	Upper bound that will not be exceed by the EI estimate with a confidence 1 - alpha. By default, 0.10.
show.plot	TRUE/FALSE. Indicates whether the plot showing the relationship between EI and HETe estimated by simulation for the election under study should be displayed as a side-effect. By default, TRUE.
num.d	Number maximum of different disturbances, d, to be initially considered. Posi- tive integer greater than or equal to 5. By default, 11.
В	Integer that determines the number of simulations to be performed for each dis- turbance value. By default, 30.

## Value

A list with the following components

EI.estimate	Point estimate for EI.
EI.upper	Upper bound with confidence 1 - alpha of the EI estimate
figure	ggplot2 object describing the graphical representation of the relationship be- tween EI and HETe.
equation	Im object of the adjustment between EI and HETe.
statistics	A four column matrix with the values of HET, HETe, EI and d associated with each simulated scenario.
TMs.real	Array with the simulated real transfer matrices associated with each scenario.
TMs.estimate	Array with the estimated transfer matrices associated with each scenario.

## Note

ggplot2 is needed to be installed for this function to work.

See equation (12) in Romero et al. (2020) for a definition of the EI index.

## Author(s)

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## References

Romero, R, Pavia, JM, Martin, J and Romero G (2020). Assessing uncertainty of voter transitions estimated from aggregated data. Application to the 2017 French presidential election. *Journal of Applied Statistics*, 47(13-15), 2711-2736. doi:10.1080/02664763.2020.1804842

## See Also

lphom confidence\_intervals\_pjk

#### Examples

France2017D

2017 French Presidential Election. Department official results.

#### Description

Data frame containing the official results recorded in the first and second rounds of the 2017 French presidential election in the 107 territorial French departments and in an artificial department that groups the French electors living abroad.

#### Usage

data(France2017D)

#### Format

A table containing 108 observations and 13 variables:

- **ABSTENTION** Number of people abstaining (NonVoters) in the first-round of 2017 Presidential Election.
- **BLANK\_NULL** Number of people voting either blank or null in the first-round of 2017 Presidential Election.
- **MACRON** Number of votes gained at a national level by Emmanuel Macron in the first-round of 2017 Presidential Election.
- **LE\_PEN** Number of votes gained at a national level by Marine Le Pen in the first-round of 2017 Presidential Election.
- **FILLON** Number of votes gained at a national level by Francois Fillon in the first-round of 2017 Presidential Election.
- **MELENCHON** Number of votes gained at a national level by Jean-Luc Melenchon in the firstround of 2017 Presidential Election.
- **HAMON** Number of votes gained at a national level by Benoit Hamon in the first-round of 2017 Presidential Election.
- **DUPONT.AIGNAN** Number of votes gained at a national level by Nicolas Dupont-Aignan in the first-round of 2017 Presidential Election.
- **OTHERS** Number of votes gained at a national level by the rest of candidates in the first-round of 2017 Presidential Election.
- **ABSTENTION2** Number of people abstaining (NonVoters) in the second-round of 2017 Presidential Election.

- **BLANK\_NULL2** Number of people voting either blank or null in the second-round of 2017 Presidential Election.
- MACRON2 Number of votes gained at a national level by Emmanuel Macron in the second-round of 2017 Presidential Election
- **LE\_PEN2** Number of votes gained at a national level by Marine Le Pen in the second-round of 2017 Presidential Election

#### Source

Own elaboration from data available in https://www.conseil-constitutionnel.fr/, retrieved 3 March 2020.

France2017P

2017 French Presidential Election. Regional provisional results.

#### Description

Data frame containing the provisional results of the first and second rounds of the 2017 French presidential election in the 12 French continental regions (Auvergne-Rhone-Alpes, Bourgogne-Franche-Comte, Brittany, Centre-Val de Loire, Grand Est, Hauts-de-France, Ile-de-France, Normandy, Nouvelle-Aquitaine, Occitanie, Pays de la Loire, Provence-Alpes-Cote d'Azur) plus an additional region that covers Corsica and the rest of French overseas regions.

#### Usage

data(France2017P)

#### Format

A table containing 13 observations and 12 variables:

- **ABSTENTION** Number of people abstaining (NonVoters) and voting either blank or null in the first-round of 2017 Presidential Election.
- **MACRON** Number of votes gained at a national level by Emmanuel Macron in the first-round of 2017 Presidential Election.
- **LE\_PEN** Number of votes gained at a national level by Marine Le Pen in the first-round of 2017 Presidential Election.
- **FILLON** Number of votes gained at a national level by Francois Fillon in the first-round of 2017 Presidential Election.
- **MELENCHON** Number of votes gained at a national level bu Jean-Luc Melenchon in the firstround of 2017 Presidential Election.
- **HAMON** Number of votes gained at a national level by Benoit Hamon in the first-round of 2017 Presidential Election.
- **DUPONT** Number of votes gained at a national level by Nicolas Dupont-Aignan in the first-round of 2017 Presidential Election.

- **OTHERS** Number of votes gained at a national level by the rest of candidates in the first-round of 2017 Presidential Election.
- **ABSTENTION2** Number of people abstaining (NonVoters) in the second-round of 2017 Presidential Election.
- **BLANK\_NULL** Number of people voting either blank or null in the second-round of 2017 Presidential Election.
- MACRON2 Number of votes gained at a national level by Emmanuel Macron in the second-round of 2017 Presidential Election
- **LE\_PEN2** Number of votes gained at a national level by Marine Le Pen in the second-round of 2017 Presidential Election

#### Source

Own elaboration from data available in https://www.francetvinfo.fr/elections/resultats/, retrieved 7 May 2017.

lclphom

Implements lclphom algorithm

#### Description

Estimates RxC (JxK) vote transfer matrices (ecological contingency tables) with lclphom

#### Usage

```
lclphom(
 votes_election1,
 votes_election2,
 new_and_exit_voters = c("raw", "regular", "ordinary", "enriched", "adjust1", "adjust2",
    "simultaneous", "semifull", "full", "fullreverse", "gold"),
  apriori = NULL,
 lambda = 0.5,
  uniform = TRUE,
  structural_zeros = NULL,
  integers = FALSE,
  iter.max = 1000,
  type.errors = "posterior",
  distance.local = c("abs", "max", "none"),
  verbose = TRUE,
  solver = "lp_solve",
  integers.solver = "symphony",
)
```

## lclphom

## Arguments

guinents	
votes_election	1
	data.frame (or matrix) of order IxJ1 with the votes gained by (or the counts corresponding to) the J1 political options competing (available) on election 1 (or origin) in the I units considered. In general, the row marginals of the I tables corresponding to the units.
votes_election2	2
	data.frame (or matrix) of order IxK2 with the votes gained by (or the counts corresponding to) the K2 political options competing (available) on election 2 (or destination) in the I (territorial) units considered. In general, the column marginals of the I tables corresponding to the units.
new_and_exit_v	oters
	A character string indicating the level of information available in votes_election1 and votes_election2 regarding new entries and exits of the election censuses between the two elections. This argument allows, in addition to the options dis- cussed in Pavia (2023), three more options. This argument admits eleven differ- ent values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous, semifull, full, fullreverse and gold. Default, raw.
apriori	data.frame (or matrix) of order J0xK0 with an initial estimate of the (row- standarized) global voter transition proportions/fractions, pjk0, between the first J0 (election) options of election 1 and the first K0 (election) options of election 2. This matrix can contain some missing values. When no a priori information is available apriori is a null object. Default, NULL.
lambda	A number between 0 and 1, informing the relative weight the user assigns to the apriori information. Setting lambda = 0 is equivalent to not having a priori information (i.e., apriori = NULL). Default, 0.5.
uniform	A TRUE/FALSE value that informs whether census exits impact all the electoral options in a (relatively) similar fashion in all iterations, including iteration 0 and when deriving units tables. If uniform = TRUE typically at least one of the equations among equations (6) to (11) of Pavia (2023) is included in the underlying model. This parameter has no effect in simultaneous scenarios. It also has not impact in raw and regular scenarios when no net exits are estimated by the function from the provided information. Default, TRUE.
structural_zero	•
	Default NULL. A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2. For instance, when new_and_exit_voters is set to "semifull", lphom implicitly states structural_zeros = list(c(J1, K2)).
integers	A TRUE/FALSE value that indicates whether the problem is solved in integer val- ues in both iterations, including iteration zero (lphom) and the rest of iterations, when deriving unit tables solutions. If integers = TRUE, the LP matrices are approximated to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
iter.max	Maximum number of iterations to be performed. The process ends when either the number of iterations reaches iter.max or when there is no error reduction in any local unit between two consecutive iterations. By default, 1000.

type.errors	A string argument that indicates whether the errors (distance to homogeneity) to be computed for the temporary local solutions are calculated taking as reference the previous global matrix (the one that is used to derive the temporary local solution) or taking as reference the posterior global matrix (the one in which the temporary local solution is integrated). This argument admits two values: previous and posterior. Default, posterior.	
distance.local	A string argument that indicates whether the second step of the lphom_local algorithm should be performed to solve potential indeterminacies of local solutions. Default, "abs". If distance.local = "abs" lphom_local selects in its second step the matrix closer to the temporary global solution under L_1 norm, among the first step compatible matrices. If distance.local = "max" lphom_local selects in its second step the matrix closer to the temporary global solution under L_1 norm, among the first step compatible matrices. If distance.local = "max" lphom_local selects in its second step the matrix closer to the temporary global solution under L_1nf norm, among the first step compatible matrices. If distance.local = "none", the second step of lphom_local is not performed.	
verbose	A TRUE/FALSE value that indicates if a summary of the results of the computa- tions performed to estimate net entries and exits should be printed on the screen. Default, TRUE.	
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.	
integers.solver		
	A character string indicating the linear programming solver to be used for approximating the LP solution to the closest integer solution. Only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.	
	Other arguments to be passed to the function. Not currently used.	

## Details

Description of the new\_and\_exit\_voters argument in more detail.

- raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.
- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or

not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.

- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.
- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).
- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of

Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.

- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.
- gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

#### Value

A list with the following components

VTM	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census in all units, net entries are omitted (i.e., the number of rows of VTM is equal to J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census in all units, net estimates for net exits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits different from zero are obtained.
VTM.votes	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., $J = J1$ ) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero are obtained.
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2.

## lclphom

HETe	The estimated heterogeneity index as defined in equation (15) of Pavia and Romero (2022).
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.complete.vc	tes
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.prop.units	An array of order JxKxI with the estimated proportions of vote transitions from election 1 to election 2 attained for each unit in the solution.
VTM.votes.units	
	An array of order JxKxI with the estimated matrix of vote transitions from elec- tion 1 to election 2 attained for for each unit in the solution.
VTM.complete.la	
	A matrix of order JxK with the estimated proportions of vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units, corresponding to the final iteration.
VTM.sequence	Array of order $JxKx(iter+1)$ (where iter is the effective number of iterations performed) of the intermediate estimated matrices corresponding to each iteration.
HETe.sequence	Numeric vector of length iter+1 with the HETe coefficients corresponding to the matrices in VTM. sequence.
VTM.prop.units.	
	An array of order JxKxI with the estimated proportions of vote transitions from election 1 to election 2 attained for each unit in the final iteration.
VTM.votes.units	
	An array of order JxKxI with the estimated matrix of vote transitions from elec- tion 1 to election 2 attained for each unit in the final iteration.
zeros	A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2.
iter	The real final number of iterations performed before ending the process.
iter.units	A matrix of order Ix(iter+1) with the number of iteration corresponding to the solution selected for each unit in each iteration.
errors	A vector of length I with the minimal error observed in the sequence for each unit. It corresponds to the unit-error associated with the solution linked with either VTM.prop.units or VTM.votes.units.
deterministic.b	
	A list of two matrices of order JxK and two arrays of order JxKxI containing for each vote transition the lower and upper allowed proportions given the observed aggregates.

inputs	A list containing all the objects with the values used as arguments by the function.
origin	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
destination	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
EHet	A matrix of order IxK measuring in each spatial unit a distance to the homo- geneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results with the solution in each territorial unit for each option of election 2.
solution_init	A list with the main outputs produced by <b>lphom</b> ().

- VTM\_init: A matrix of order J'xK' with the estimated percentages of vote transitions from election 1 to election 2 initially obtained by **lphom**().
- VTM.votes\_init: A matrix of order J'xK' with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**().
- OTM\_init: A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2 initially obtained by **lphom**().
- HETe\_init: The estimated heterogeneity index defined in equation (10) of Romero et al. (2020).
- EHet\_init: A matrix of order IxK measuring in each spatial unit the distance to the homogeneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results, using the **lphom**() solution, in each territorial unit for each option of election 2.
- VTM. complete\_init: A matrix of order JxK with the estimated proportions of vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.
- VTM. complete.votes\_init: A matrix of order JxK with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.

#### Author(s)

Jose M. Pavia, <pavia@uv.es>

#### References

Pavia, JM, and Romero, R (2024). Improving estimates accuracy of voter transitions. Two new algorithms for ecological inference based on linear programming, *Sociological Methods & Research*, 53(4), 1491–1533. doi:10.1177/00491241221092725.

Pavia, JM. (2024). A local convergent ecological inference algorithm for RxC tables. *The Journal of Mathematical Sociology*, 49(1), 25-46. doi:10.1080/0022250X.2024.2423943.

## lphom

Pavia, JM (2024). Integer estimation of inner-cell values in RxC ecological tables. *Bulletin of Sociological Methodology*, 164(1), 97-121. doi:10.1177/07591063241277064.

#### See Also

lphom tslphom nslphom rslphom

Other linear programing ecological inference functions: lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

## Examples

```
mt.lc <- lclphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
mt.lc$VTM
mt.lc$HETe
mt.lc$solution_init$HETe_init</pre>
```

lphom

Implements lphom algorithm

#### Description

Estimates RxC (JxK) vote transfer matrices (ecological contingency tables) with lphom

#### Usage

```
lphom(
  votes_election1,
  votes_election2,
  new_and_exit_voters = c("raw", "regular", "ordinary", "enriched", "adjust1", "adjust2",
    "simultaneous", "semifull", "full", "fullreverse", "gold"),
  apriori = NULL,
  lambda = 0.5,
  uniform = TRUE,
  structural_zeros = NULL,
  integers = FALSE,
  verbose = TRUE,
  solver = "lp_solve",
  integers.solver = "symphony",
  ....
)
```

## Arguments

Buillents	
votes_election	1
	data.frame (or matrix) of order IxJ1 with the votes gained by (or the counts corresponding to) the J1 political options competing (available) on election 1 (or origin) in the I units considered. In general, the row marginals of the I tables corresponding to the units.
votes_election2	
	data.frame (or matrix) of order IxK2 with the votes gained by (or the counts corresponding to) the K2 political options competing (available) on election 2 (or destination) in the I (territorial) units considered. In general, the column marginals of the I tables corresponding to the units.
new_and_exit_vo	oters
	A character string indicating the level of information available in votes_election1 and votes_election2 regarding new entries and exits of the election censuses between the two elections. This argument allows, in addition to the options dis- cussed in Pavia (2023), three more options. This argument admits eleven differ- ent values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous, semifull, full, fullreverse and gold. Default, raw.
apriori	data.frame (or matrix) of order J0xK0 with an initial estimate of the (row- standarized) global voter transition proportions/fractions, pjk0, between the first J0 (election) options of election 1 and the first K0 (election) options of election 2. This matrix can contain some missing values. When no a priori information is available apriori is a null object. Default, NULL.
lambda	A number between 0 and 1, informing the relative weight the user assigns to the apriori information. Setting lambda = 0 is equivalent to not having a priori information (i.e., apriori = NULL). Default, 0.5.
uniform	A TRUE/FALSE value that informs whether census exits affect all the electoral options in a (relatively) similar fashion. If uniform = TRUE typically at least one of the equations among equations (6) to (11) of Pavia (2022) is included in the underlying model. This parameter has never effect in simultaneous scenarios. It also has not impact in raw and regular scenarios when no net exits are estimated by the function from the provided information. Default, TRUE.
structural_zero	DS
	Default NULL. A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2. For instance, when new_and_exit_voters is set to "semifull", lphom implicitly states structural_zeros = list(c(J1, K2)).
integers	A TRUE/FALSE value that indicates whether the LP solution of counts (votes) must be approximate to the closest integer solution using ILP to generate the final solution. Default, FALSE.
verbose	A TRUE/FALSE value that indicates if a summary of the results of the computa- tions performed to estimate net entries and exits should be printed on the screen. Default, TRUE.
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.

#### lphom

```
integers.solver
```

A character string indicating the linear programming solver to be used for approximating the LP solution to the closest integer solution. Only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

#### Details

Description of the new\_and\_exit\_voters argument in more detail.

- raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.
- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.
- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note

that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.

- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.
- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).
- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.
- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.
- gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K - 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and

## lphom

votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

## Value

A list with the following components

VTM	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census in all units, net entries are omitted (i.e., the number of rows of VTM is equal to J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census in all units, net estits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits different from zero are obtained.	
VTM.votes	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., $J = J1$ ) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero are obtained.	
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2.	
НЕТе	The estimated heterogeneity index defined in equation (11) of Romero et al. (2020).	
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios, this matrix includes the row and the column corresponding to net entries and net exits (when they are present) even when they are really small, less than 1%.	
VTM.complete.vo	tes	
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios, this matrix includes the row and the column corresponding to net entries and net exits (when they are present) even when they are really small, less than 1%.	
deterministic.bounds		
	A list of two matrices of order JxK containing for each vote transition the lower and upper proportions allowed given the observed aggregates.	
inputs	A list containing all the objects with the values used as arguments by the func- tion.	
origin	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.	

destination	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
EHet	A matrix of order IxK measuring in each spatial unit a distance to the homo- geneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election 2.

## Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

#### References

Romero, R, Pavia, JM, Martin, J and Romero G (2020). Assessing uncertainty of voter transitions estimated from aggregated data. Application to the 2017 French presidential election. Journal of Applied Statistics, 47(13-15), 2711-2736. doi:10.1080/02664763.2020.1804842

Pavia, JM (2024). Integer estimation of inner-cell values in RxC ecological tables. Bulletin of Sociological Methodology, 164(1), 97-121. doi:10.1177/07591063241277064.

#### See Also

tslphom nslphom lclphom rslphom

```
Other linear programing ecological inference functions: lclphom(), lp_apriori(), lphom_dual(),
lphom_joint(), nslphom_dual(), nslphom_joint(), nslphom(), rslphom(), tslphom_dual(),
tslphom_joint(), tslphom()
```

#### Examples

lphom(France2017P[, 1:8] , France2017P[, 9:12], new\_and\_exit\_voters= "raw")

lphom\_dual

Implements lphom\_dual algorithm

#### Description

Estimates RxC vote transfer matrices (ecological contingency tables) with lphom\_dual

#### Usage

```
lphom_dual(
  votes_election1,
  votes_election2,
  integers = FALSE,
  solver = "lp_solve",
  integers.solver = "symphony",
)
```

#### lphom\_dual

#### Arguments

votes\_election1

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

#### votes\_election2

data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the K political options competing on election 2 (or destination) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

- integers A TRUE/FALSE value that indicates whether the LP solution of counts (votes) must be approximate to the closest integer solution using ILP. Default, FALSE.
- solverA character string indicating the linear programming solver to be used, only<br/>lp\_solve and symphony are allowed. By default, lp\_solve. The package<br/>Rsymphony needs to be installed for the option symphony to be used.

integers.solver

A character string indicating the linear programming solver to be used to approximate to the closest integer solution, only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

#### Value

A list with the following components

VTM.votes.w	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
VTM.votes.a	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained simple averaging the two dual solutions.	
HETe.w	Estimated heterogeneity index associated to the VTM.votes.w solution.	
HETe.a	Estimated heterogeneity index associated to the VTM.votes.a solution.	
VTM12.w	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.w solution.	
VTM21.w	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.w solution.	
VTM12.a	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.a solution.	
VTM21.a	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.a solution.	
lphom.object.12		
	The output of the lphom function attained solving the problem $X \rightarrow Y$ . That is, mapping votes_election1 to rows and votes_election2 to columns.	

lphom.object.21	
	The output of the lphom function attained solving the problem $Y \rightarrow X$ . That is, mapping votes_election2 to rows and votes_election1 to columns.
inputs	A list containing all the objects with the values used as arguments by the func- tion.

#### Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

#### References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

#### See Also

lphom tslphom\_dual nslphom\_dual lphom\_joint tslphom\_joint nslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

## Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- lphom_dual(x, y)
mt$VTM.votes.w
mt$HETe.w
```

lphom\_joint Implements the lphom\_joint algorithm

#### Description

Estimates RxC vote transfer matrices (ecological contingency tables) with lphom\_joint

## Usage

```
lphom_joint(
   votes_election1,
   votes_election2,
   integers = FALSE,
   solver = "lp_solve",
   integers.solver = "symphony",
```

#### lphom\_joint

) ...

#### Arguments

votes\_election1

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

votes\_election2

data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the K political options competing on election 2 (or destination) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

- integers A TRUE/FALSE value that indicates whether the LP solution of counts (votes) must be approximate to the closest integer solution using ILP. Default, FALSE.
- solver A character string indicating the linear programming solver to be used, only lp\_solve and symphony are allowed. By default, lp\_solve. The package Rsymphony needs to be installed for the option symphony to be used.

integers.solver

A character string indicating the linear programming solver to be used to approximate to the closest integer solution, only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

#### Value

A list with the following components

VTM.votes	A matrix of order JxK with the estimated cross-distribution of votes of elections 1 and 2.
HETe	The estimated heterogeneity index associated to the VTM.votes solution.
VTM12	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes solution.
VTM21	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes solution.
EHet12	A matrix of order IxK measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election two. The matrix Eik.
EHet21	A matrix of order IxJ measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election one. The matrix Eij.

deterministic.b	ounds
	A list of two matrices of order JxK containing for each vote transition the lower and upper proportions allowed given the observed aggregates.
inputs	A list containing all the objects with the values used as arguments by the func- tion.

## Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

#### References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

## See Also

lphom lphom\_dual tslphom\_dual nslphom\_dual tslphom\_joint nslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

#### Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- lphom_joint(x, y)
mt$VTM.votes
mt$HETe
```

lp\_apriori

Implements lp\_apriori models

## Description

Adjusts an initial J0xK0 vote transfer matrix (ecological contingency table) to guarantee (i) congruency with aggregate results and (ii) completeness.

#### lp\_apriori

#### Usage

```
lp_apriori(
   votes_election1,
   votes_election2,
   apriori,
   weights = "constant",
   new_and_exit_voters = "raw",
   uniform = TRUE,
   solver = "lp_solve",
   integers = TRUE,
   integers.solver = "symphony",
   ...
)
```

#### Arguments

```
votes_election1
                   data.frame (or matrix) of order IxJ1 (or vector of length J1) with the votes gained
                  by (or the numbers corresponding to) the J1 political options competing on elec-
                   tion 1 (or origin) in the I territorial units considered.
votes_election2
                   data.frame (or matrix) of order IxK2 (or vector of length K2) with the votes
                   gained by (or the numbers corresponding to) the K2 political options competing
                   on election 2 (or destination) in the I territorial units considered.
                   data.frame (or matrix) of order J0xK0 with an initial estimate of the (row-
apriori
                  standarized) voter transition proportions/fractions, pjk0, between the first J0
                  election options of election 1 and the first K0 election options of election 2.
                  It could be also a data.frame (matrix) of counts. This matrix can contain some
                  missing values.
weights
                  Either a numeric matrix (or data.frame) of order J0xK0 of weights, wjk, or a
                  character string indicating the structure of weights to be used. As character
                   string this argument admits seven different values: constant, x, xy, expected,
                   counts, sqrt, or sd. Default, constant (i.e., wjk = 1). The wjk coefficients
                   measure the (relative) degree of confidence we have in the a priori values pjk0.
                  Everything else constant, the greater a weight wijk the closer the estimated pik
                   and the pjk0 proportions will be. As numeric matrix, this matrix can contain
                   some missing values, usually located in the same cells than the missing values
                   of apriori.
new_and_exit_voters
                  A character string indicating the level of information available in votes_election1
                  and votes_election2 regarding new entries and exits of the election censuses
                  between the two elections. This argument allows, in addition to the options dis-
                  cussed in Pavia (2023), three more options. This argument admits eleven differ-
                   ent values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous,
                   semifull, full, fullreverse and gold. Default, raw.
uniform
                   A TRUE/FALSE value that indicates if census exits affect all the electoral op-
                  tions in a (relatively) similar fashion; depending on the scenario any equation(s)
```

	among equations (6) to (11) of Pavia (2023) could be used in the underlying model. Default, TRUE.
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.
integers	A TRUE/FALSE value that indicates whether the LP solution of counts (votes) must be approximate to the closest integer solution using ILP to generate the final solution. Default, TRUE.
integers.solver	
	A character string indicating the linear programming solver to be used for approximating to the closest integer solution. Only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.
	Other arguments to be passed to the function. Not currently used.

#### Details

Description of the new\_and\_exit\_voters argument in more detail.

- raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.
- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.
- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.

#### lp\_apriori

- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.
- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).
- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.
- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1

totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K - 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.

• gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K - 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

## Value

A list with the following components

VTM	A matrix of order JxK with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., $J = J1$ ) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero are obtained.
VTM.votes	A matrix of order JxK with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., $J = J1$ ) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero are obtained.
weights	A matrix of order JxK with the weights used to adjust the a priori vote transitions from election 1 to election 2.
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2.
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios, this matrix includes the row and the column corresponding to net entries and net exits (when they are present) even when they are really small.
VTM.complete.vc	
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios, this matrix includes the row and the column corresponding to net entries and net exits (when they are present) even when they are really small.
inputs	A list containing all the objects with the values used as arguments by the func- tion.
origin	A vector with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.

#### nslphom

```
destination A vector with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
```

#### Author(s)

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#### References

Pavia, JM (2023). Adjustment of initial estimates of voter transition probabilities to guarantee consistency and completeness, *SN Social Sciences*, 3, 75. doi:10.1007/s4354502300658y.

#### See Also

lphom tslphom nslphom lclphom

Other linear programing ecological inference functions: lclphom(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

### Examples

nslphom

Implements nslphom algorithm

#### Description

Estimates RxC (JxK) vote transfer matrices (ecological contingency tables) with nslphom

#### Usage

```
nslphom(
  votes_election1,
  votes_election2,
  new_and_exit_voters = c("raw", "regular", "ordinary", "enriched", "adjust1", "adjust2",
        "simultaneous", "semifull", "full", "fullreverse", "gold"),
        apriori = NULL,
        lambda = 0.5,
        uniform = TRUE,
        iter.max = 10,
        min.first = FALSE,
```

```
structural_zeros = NULL,
integers = FALSE,
distance.local = c("abs", "max", "none"),
verbose = TRUE,
solver = "lp_solve",
integers.solver = "symphony",
burnin = 0,
tol = 10^-5,
...
```

#### Arguments

votes\_election1

data.frame (or matrix) of order IxJ1 with the votes gained by (or the counts corresponding to) the J1 political options competing (available) on election 1 (or origin) in the I units considered. In general, the row marginals of the I tables corresponding to the units.

```
votes_election2
```

data.frame (or matrix) of order IxK2 with the votes gained by (or the counts corresponding to) the K2 political options competing (available) on election 2 (or destination) in the I (territorial) units considered. In general, the column marginals of the I tables corresponding to the units.

new\_and\_exit\_voters

A character string indicating the level of information available in votes_election1
and votes_election2 regarding new entries and exits of the election censuses
between the two elections. This argument allows, in addition to the options dis-
cussed in Pavia (2023), three more options. This argument admits eleven differ-
ent values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous,
semifull, full, fullreverse and gold. Default, raw.

- apriori data.frame (or matrix) of order J0xK0 with an initial estimate of the (rowstandarized) global voter transition proportions/fractions, pjk0, between the first J0 (election) options of election 1 and the first K0 (election) options of election 2. This matrix can contain some missing values. When no a priori information is available apriori is a null object. Default, NULL.
- lambdaA number between 0 and 1, informing the relative weight the user assigns to<br/>the apriori information. Setting lambda = 0 is equivalent to not having a priori<br/>information (i.e., apriori = NULL). Default, 0.5.
- uniform A TRUE/FALSE value that informs whether census exits impact all the electoral options in a (relatively) similar fashion in all iterations, including iteration 0 and when deriving units tables. If uniform = TRUE typically at least one of the equations among equations (6) to (11) of Pavia (2023) is included in the underlying model. This parameter has no effect in simultaneous scenarios. It also has not impact in raw and regular scenarios when no net exits are estimated by the function from the provided information. Default, TRUE.

iter.max Maximum number of iterations to be performed. The process ends when either the number of iterations reaches iter.max or when the maximum variation be-

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tween two consecutive estimates of the probability transfer matrix is less than tol. By default, 10.

min.first A TRUE/FALSE value. If min.first = FALSE, the matrix associated with the minimum HETe after performing iter.max iterations is taken as solution. If min.first = TRUE, the associated matrix to the instant in which the first decrease of HETe occurs is taken as solution. The process stops at that moment. In this last scenario (when min.first = TRUE), burnin = 0 is forced and iter.max is at least 100. Default, FALSE.

#### structural\_zeros

Default NULL. A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2. For instance, when new\_and\_exit\_voters is set to "semifull", lphom implicitly states structural\_zeros = list(c(J1, K2)).

- integers A TRUE/FALSE value that indicates whether the problem is solved in integer values in both iterations, including iteration zero (lphom) and the rest of iterations, when deriving unit tables solutions. If integers = TRUE, the LP matrices are approximated to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
- distance.local A string argument that indicates whether the second step of the lphom\_local algorithm should be performed to solve potential indeterminacies of local solutions. Default, "abs". If distance.local = "abs" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_1 norm, among the first step compatible matrices. If distance.local = "max" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_Inf norm, among the first step compatible matrices. If distance.local = "none", the second step of lphom\_local is not performed.
- verbose A TRUE/FALSE value that indicates if a summary of the results of the computations performed to estimate net entries and exits should be printed on the screen. Default, TRUE.
- solver A character string indicating the linear programming solver to be used, only lp\_solve and symphony are allowed. By default, lp\_solve. The package Rsymphony needs to be installed for the option symphony to be used.

integers.solver

- A character string indicating the linear programming solver to be used to approximate to the closest integer solution, only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.
- burnin Number of initial solutions to be discarded before determining the final solution. By default, 0.
- tol Maximum deviation allowed between two consecutive iterations. The process ends when the maximum variation between two proportions for the estimation of the transfer matrix between two consecutive iterations is less than tol or the maximum number of iterations, iter.max, has been reached. By default, 0.00001.
- ... Other arguments to be passed to the function. Not currently used.

#### Details

Description of the new\_and\_exit\_voters argument in more detail.

- raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.
- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.
- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.

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- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).
- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.
- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.
- gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

#### Value

A list with the following components

VTM

A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net

VTM.votes	entries is small, less than 1% of the census in all units, net entries are omitted (i.e., the number of rows of VTM is equal to J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census in all units, net exits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits different from zero are obtained. A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., J = J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., K = K2) even when estimates for net exits different from zero are obtained.
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2.
НЕТе	The estimated heterogeneity index as defined in equation (15) of Pavia and Romero (2022).
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vote transitions from election 1 to election 2, including in regular and raw scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.complete.vo	• •
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2, including in regular and raw scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.sequence	Array of order JxKx(iter+1) (where iter is the effective number of iterations performed) of the estimated matrices corresponding to each iteration.
HETe.sequence	Numeric vector of length iter+1 with the HETe coefficients corresponding to the matrices in VTM. sequence.
	An array of order JxKxI with the estimated proportions of vote transitions from election 1 to election 2 attained for each unit in the selected iteration.
VTM.votes.units	
	An array of order JxKxI with the estimated matrix of vote transitions from elec- tion 1 to election 2 attained for for each unit in the selected iteration.
zeros	A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2.
iter	The real final number of iterations performed before ending the process.
iter.min deterministic.k	
	A list of two matrices of order JxK and two arrays of order JxKxI containing for each vote transition the lower and upper allowed proportions given the observed aggregates.
inputs	A list containing all the objects with the values used as arguments by the func- tion.

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origin	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
destination	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
EHet	A matrix of order IxK measuring in each spatial unit a distance to the homo- geneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results with the solution in each territorial unit for each option of election 2.
solution_init	A list with the main outputs produced by <b>lphom</b> ().

- VTM\_init: A matrix of order JxK with the estimated percentages of vote transitions from election 1 to election 2 initially obtained by **lphom**().
- VTM.votes\_init: A matrix of order JxK with the estimated vote transitions from election 1 to election 2 initially obtained by lphom().
- OTM\_init: A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2 initially obtained by **lphom**().
- HETe\_init: The estimated heterogeneity index defined in equation (10) of Romero et al. (2020).
- EHet\_init: A matrix of order IxK measuring in each spatial unit the distance to the homogeneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results, using the **lphom**() solution, in each territorial unit for each option of election 2.
- VTM. complete\_init: A matrix of order J'xK' with the estimated proportions of vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in regular and raw scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.
- VTM. complete.votes\_init: A matrix of order J'xK' with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in regular and raw scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.

#### Author(s)

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#### References

Pavia, JM, and Romero, R (2024). Improving estimates accuracy of voter transitions. Two new algorithms for ecological inference based on linear programming, *Sociological Methods & Research*, 53(4), 1491–1533. doi:10.1177/00491241221092725.

Pavia, JM (2024). Integer estimation of inner-cell values in RxC ecological tables. *Bulletin of Sociological Methodology*, 164(1), 97-121. doi:10.1177/07591063241277064.

## See Also

lphom tslphom lclphom rslphom

```
Other linear programing ecological inference functions: lclphom(), lp_apriori(), lphom_dual(),
lphom_joint(), lphom(), nslphom_dual(), nslphom_joint(), rslphom(), tslphom_dual(),
tslphom_joint(), tslphom()
```

#### Examples

```
mt.ns <- nslphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
mt.ns$VTM
mt.ns$HETe
mt.ns$solution_init$HETe_init</pre>
```

nslphom\_dual Implements the nslphom\_dual algorithm

#### Description

Estimates RxC vote transfer matrices (ecological contingency tables) with nslphom\_dual

#### Usage

```
nslphom_dual(
  votes_election1,
  votes_election2,
  iter.max = 10,
  min.first = FALSE,
  integers = FALSE,
  solver = "lp_solve",
  integers.solver = "symphony",
  tol = 10^-5,
  ...
)
```

#### Arguments

votes\_election1

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

votes\_election2

data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the K political options competing on election 2 (or destination) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

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iter.max	Maximum number of iterations to be performed in each dual linear program. The process ends independently in each system when either the number of itera- tions reaches iter.max or when the maximum variation between two consecutive estimates of the probability transfer matrix is less than tol. By default, 10.
min.first	A TRUE/FALSE value. If FALSE, the matrix associated with the minimum HETe after performing iter.max iterations is taken as solution. If TRUE, the associated matrix to the instant in which the first decrease of HETe occurs is taken as solution. The process stops at that moment. In this last scenario (when min.first = TRUE), iter.max is is forced to be at least 100. Default, FALSE.
integers	A TRUE/FALSE value that indicates whether the problem is solved in integer values in each iteration: zero (lphom) and intermediate and final (including unit) solutions. If TRUE, the initial LP matrices are approximated in each iteration to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.
integers.solve	r
	A character string indicating the linear programming solver to be used to approx- imate to the closest integer solution, only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.
tol	Maximum deviation allowed between two consecutive iterations. The process ends when the maximum variation between two proportions for the estimation of the transfer matrix between two consecutive iterations is less than tol or the maximum number of iterations, iter.max, has been reached. By default, 0.00001.
	Other arguments to be passed to the function. Not currently used.
Value	

A list with the following components

VTM.votes.w	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
VTM.votes.units	. W	
	The array of order JxKxI with the local estimated cross-distributions of votes of elections 1 and 2 by unit, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
VTM.votes.a	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained simple averaging the two dual solutions.	
VTM.votes.units.a		
	The matrix of order JxKxI with the estimated cross-distributions of votes of elections 1 and 2 by unit, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	

HETe.w	Estimated heterogeneity index associated to the VTM.votes.w solution.	
HETe.a	Estimated heterogeneity index associated to the VTM.votes.a solution.	
VTM12.w	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.w solution.	
VTM21.w	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.w solution.	
VTM12.a	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.a solution.	
VTM21.a	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.a solution.	
nslphom.object.12		
	The output of the nslphom function attained solving the problem $X \rightarrow Y$ , that is, mapping votes_election1 to rows and votes_election2 to columns.	
nslphom.object.21		
	The output of the nslphom function attained solving the problem $Y \rightarrow X$ , that is, mapping votes_election2 to rows and votes_election1 to columns.	
inputs	A list containing all the objects with the values used as arguments by the func- tion.	

# Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

# References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

# See Also

nslphom lphom\_dual tslphom\_dual lphom\_joint tslphom\_joint nslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

# Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- nslphom_dual(x, y)
mt$VTM.votes.w
mt$HETe.w
```

nslphom\_joint

# Description

Estimates RxC vote transfer matrices (ecological contingency tables) with nslphom\_joint

# Usage

```
nslphom_joint(
  votes_election1,
  votes_election2,
  iter.max = 10,
  min.first = FALSE,
  integers = FALSE,
  solver = "lp_solve",
  integers.solver = "symphony",
  tol = 0.001,
  ...
)
```

# Arguments

```
votes_election1
```

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

votes_election2	
	data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the $K$ political options competing on election 2 (or destination) in the $I$ territorial units considered. The sum by rows of votes_election1 and votes_election2 must coincide.
iter.max	Maximum number of iterations to be performed. The process ends indepen- dently when either the number of iterations reaches iter.max or when the max- imum variation between two consecutive estimates of both ways probability transfer matrices are less than tol. By default, 10.
min.first	A TRUE/FALSE value. If FALSE, the matrix associated with the minimum HETe after performing iter.max iterations is taken as solution. If TRUE, the associated matrix to the instant in which the first decrease of HETe occurs is taken as solution. The process stops at that moment. In this last scenario (when min.first = TRUE), iter.max is is forced to be at least 100. Default, FALSE.
integers	A TRUE/FALSE value that indicates whether the problem is solved in integer values in each iteration: zero (lphom) and intermediate and final (including unit) solutions. If TRUE, the initial LP matrices are approximated in each iteration to

	the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.
integers.solve	r
	A character string indicating the linear programming solver to be used to approx- imate to the closest integer solution, only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.
tol	Maximum deviation allowed between two consecutive iterations. The process ends when the maximum variation between the estimated cross-distributions of votes between two consecutive iterations is less than tol or the maximum num- ber of iterations, iter.max, has been reached. By default, 0.001.
	Other arguments to be passed to the function. Not currently used.

# Value

A list with the following components

VTM.votes	A matrix of order JxK with the estimated cross-distribution of votes of elections 1 and 2.	
HETe	The estimated heterogeneity index associated to the VTM.votes solution.	
VTM12	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes solution.	
VTM21	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes solution.	
VTM.votes.units		
	An array of order JxKxI with the estimated matrix of cross-distributions of votes of elections 1 and 2 attained for each unit in iteration of the solution.	
iter	The real final number of iterations performed before ending the process.	
iter.min	Number of the iteration associated to the selected VTM.votes solution.	
EHet12	A matrix of order IxK measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election two. The matrix Eik.	
EHet21	A matrix of order IxJ measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election one. The matrix Eij.	
deterministic.bounds		
	A list of two matrices of order JxK and two arrays of order JxKxI containing for each vote transition the lower and upper allowed proportions given the observed aggregates.	
inputs	A list containing all the objects with the values used as arguments by the function.	
solution_init	A list with the main outputs produced by <b>lphom_joint</b> ().	

## plot.lphom

# Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

## References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

# See Also

nslphom lphom\_dual tslphom\_dual nslphom\_dual lphom\_joint tslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

# Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- nslphom_joint(x, y, iter.max = 3)
mt$VTM.votes
mt$HETe
```

plot.lphom	Graphical representation of a RxC ecological inference (vote transfer)
	matrix

## Description

Plot method for objects obtained with an algorithm of the lphom-family (lphom, tslphom, tslphom\_dual, nslphom\_joint, ....).

# Usage

```
## S3 method for class 'lphom'
plot(
    x,
    complete = FALSE,
    margins = TRUE,
    digits = 2,
    row.names = NULL,
    col.names = NULL,
    size.numbers = 6,
    size.labels = 4,
```

```
size.margins = 4,
colour.cells = "deeppink3",
colour.grid = "blanchedalmond",
alpha = 0.5,
which = NULL,
...,
type = "w",
show.plot = TRUE
)
```

# Arguments

х	An object output of a 1phom family algorithm.
complete	A TRUE/FALSE argument informing if the complete matrix should be displayed. In raw, regular, ordinary and enriched scenarios the plot includes the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units. Default, FALSE.
margins	A TRUE/FALSE `` argument informing if the margins of the matrix should be displayed. Defa
digits	Integer indicating the number of decimal places to be shown. Default, 2.
row.names	Names to be used for the rows of the matrix.
col.names	Names to be used for the columns of the matrix.
size.numbers	A reference number indicating the average font size to be used for the transfer numbers. Default, 6.
size.labels	A number indicating the font size to be used for labels. Default, 4.
size.margins	A number indicating the font size to be used for margin numbers. Default, 4.
colour.cells	Background base colour for cells.
colour.grid	Colour to be used for grid lines.
alpha	A [0,1] number of colour transparency.
which	A vector of integers informing the units for which the aggregate transfer matrix should be plotted. Default, NULL, the global matrix is shown.
	Other arguments passed on to methods. Not currently used.
type	A character string indicating the solution (transfer matrix) to be plotted. Only valid for <b>_dual</b> algorithms. type = "w" stands for the weighted solution and type = "a" for the simple average solution. Default w.
show.plot	A TRUE/FALSE argument indicating if the plot should be displayed as a side-effect. By default, TRUE.

# Value

Invisibly returns the (ggplot) description of the plot, which is a list with components that contain the plot itself, the data, information about the scales, panels etc.

# Note

ggplot2 is needed to be installed for this function to work.

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# print.lphom

# Author(s)

Jose M. Pavia, <pavia@uv.es>

# Examples

```
mt.ns <- nslphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
p <- plot(mt.ns, show.plot = FALSE)
p</pre>
```

print.lphom

# Print a summary of a lphom-family object

# Description

Print method for objects obtained with an algorithm of the lphom-family (lphom, tslphom, nslphom, tslphom\_dual, nslphom\_joint, ....).

# Usage

## S3 method for class 'lphom'
print(x, ..., margins = TRUE, digits = 2)

# Arguments

х	An object output of a 1phom family algorithm.
	Other arguments passed on to methods. Not currently used.
margins	A TRUE/FALSE argument informing if the margins of the matrix should be displayed. Default TRUE.
digits	Integer indicating the number of decimal places to be shown. Default, 2.

# Author(s)

Jose M. Pavia, <pavia@uv.es>

# Examples

```
mt.ns <- nslphom(France2017P[, 1:8], France2017P[, 9:12], new_and_exit_voters= "raw")
print(mt.ns, digits = 2, margins = TRUE)</pre>
```

print.summary.lphom *Print a summary of a lphom-family object* 

# Description

Print method for summary.lphom objects

# Usage

```
## S3 method for class 'summary.lphom'
print(x, ..., margins = TRUE, digits = 2)
```

# Arguments

х	An summary.lphom class object.
	Other arguments passed on to methods. Not currently used.
margins	A TRUE/FALSE argument informing if the margins of the matrix should be displayed. Default TRUE.
digits	Integer indicating the number of decimal places to be shown. Default, 2.
rslphom	Implements rslphom algorithm

## Description

Estimates RxC (JxK) vote transfer matrices (ecological contingency tables) with rslphom

# Usage

```
rslphom(
 votes_election1,
 votes_election2,
 emphasis = 0.995,
 new_and_exit_voters = c("raw", "regular", "ordinary", "enriched", "adjust1", "adjust2",
    "simultaneous", "semifull", "full", "fullreverse", "gold"),
 apriori = NULL,
 lambda = 0.5,
  uniform = TRUE,
  structural_zeros = NULL,
  integers = FALSE,
 distance.local = c("abs", "max", "none"),
  save.local.by.emphasis = FALSE,
 verbose = TRUE,
  solver = "lp_solve",
 integers.solver = "symphony",
  . . .
)
```

## rslphom

#### Arguments

votes\_election1

data.frame (or matrix) of order IxJ1 with the votes gained by (or the counts corresponding to) the J1 political options competing (available) on election 1 (or origin) in the I units considered. In general, the row marginals of the I tables corresponding to the units.

votes\_election2

data.frame (or matrix) of order IxK2 with the votes gained by (or the counts corresponding to) the K2 political options competing (available) on election 2 (or destination) in the I (territorial) units considered. In general, the column marginals of the I tables corresponding to the units.

emphasis A numerical vector of values between 0 and 1 informing of the weights/emphasis to be used to promote each unit when estimating its transfer matrix. Default, 0.995. When the length of emphasis is one, only a weight (a level of emphasis) is analyzed. When the length of emphasis is higher than one, as many as different weights/emphasis as the length of emphasis are tried in the estimation of the transfer matrix of each unit. In each unit, the local solution selected corresponds to the transfer matrix with lower expected error.

new\_and\_exit\_voters

A character string indicating the level of information available in votes\_election1 and votes\_election2 regarding new entries and exits of the election censuses between the two elections. This argument allows, in addition to the options discussed in Pavia (2023), three more options. This argument admits eleven different values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous, semiful1, full, fullreverse and gold. Default, raw.

- apriori data.frame (or matrix) of order J0xK0 with an initial estimate of the (rowstandarized) global voter transition proportions/fractions, pjk0, between the first J0 (election) options of election 1 and the first K0 (election) options of election 2. This matrix can contain some missing values. When no a priori information is available apriori is a null object. Default, NULL.
- 1ambda A number between 0 and 1 informing the relative weight the user assigns to the apriori information. Setting lambda = 0 is equivalent to not having a priori information (i.e., apriori = NULL). Default, 0.5.
- uniform A TRUE/FALSE value that informs whether census exits impact all the electoral options in a (relatively) similar fashion in all iterations, including iteration 0 and when deriving units tables. If uniform = TRUE typically at least one of the equations among equations (6) to (11) of Pavia (2023) is included in the underlying model. This parameter has no effect in simultaneous scenarios. It also has not impact in raw and regular scenarios when no net exits are estimated by the function from the provided information. Default, TRUE.

structural\_zeros

Default NULL. A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2. For instance, when new\_and\_exit\_voters is set to "semifull", lphom implicitly states structural\_zeros = list(c(J1, K2)).

integers A TRUE/FALSE value that indicates whether the problem is solved in integer values in all the steps, including lphom intermediate solutions and unit solutions.

If integers = TRUE, the LP matrices are approximated to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.

distance.local A string argument that indicates whether the second step of the lphom\_local algorithm should be performed to solve potential indeterminacies of local solutions. Default, "abs". If distance.local = "abs" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_1 norm, among the first step compatible matrices. If distance.local = "max" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_Inf norm, among the first step compatible matrices. If distance.local = "none", the second step of lphom\_local is not performed.

save.local.by.emphasis

A TRUE/FALSE value that indicates if the estimated matrices obtained in each unit for each value of emphasis should be saved. Default, FALSE.

- verbose A TRUE/FALSE value that indicates if a summary of the results of the computations performed to estimate net entries and exits should be printed on the screen. Default, TRUE.
- solver A character string indicating the linear programming solver to be used, only lp\_solve and symphony are allowed. By default, lp\_solve. The package Rsymphony needs to be installed for the option symphony to be used.

#### integers.solver

A character string indicating the linear programming solver to be used for approximating the LP solution to the closest integer solution. Only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

# Details

Description of the new\_and\_exit\_voters argument in more detail.

- raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.
- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal

to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.

- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.
- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).
- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of

Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.

- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.
- gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

## Value

A list with the following components

VTM	A matrix of order J'xK' (where J'=J-1 or J and K'= K-1 or K) with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census in all units, net entries are omitted (i.e., the number of rows of VTM is equal to J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits different from zero are obtained.
VTM.votes	A matrix of order J'xK' (where J'=J-1 or J and K'= K-1 or K) with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census, net entries are omitted (i.e., $J = J1$ ) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census, net exits are omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero are obtained.
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2.

# rslphom

НЕТе	The estimated heterogeneity index as defined in equation (15) of Pavia and Romero (2022).
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.complete.vo	
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when they are really small, less than 1% in all units.
VTM.prop.units	An array of order JxKxI with the estimated proportions of vote transitions from election 1 to election 2 attained for each unit after adjusting the <b>lphom</b> () initial estimate.
VTM.votes.units	
	An array of order JxKxI with the estimated matrix of vote transitions from elec- tion 1 to election 2 attained for each unit after adjusting the <b>lphom()</b> initial estimate.
VTM.sequence	Array of order JxKxlength(emphasis) with the global estimated matrices corresponding to each weight.
zeros	A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2.
errors	A matrix of order Ixlength(emphasis) with the expected errors for each unit and weight. The solution determined by VTM.prop.units or VTM.votes.units is the one obtained combining the unit solutions corresponding to the minimum observed errors.
VTM.prop.units.	
	An array of order JxKxIxlength(emphasis) with the estimated proportions of vote transitions from election 1 to election 2 attained in each unit for each weight. This is a NULL array if save.local.by.emphasis = FALSE.
deterministic.b	ounds
	A list of two matrices of order JxK and two arrays of order JxKxI containing for each vote transition the lower and upper allowed proportions given the observed aggregates.
inputs	A list containing all the objects with the values used as arguments by the func- tion.
origin	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
destination	A matrix with the final data used as votes of the origin election after taking into account the level of information available regarding to new entries and exits of the election censuses between the two elections.
EHet	A matrix of order IxK measuring in each spatial unit a distance to the homo- geneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results with the solution in each territorial unit for each option of election 2.

solution\_init A list with the main outputs produced by **lphom**().

- VTM\_init: A matrix of order J'xK' with the estimated percentages of vote transitions from election 1 to election 2 initially obtained by **lphom**() with the raw data, without promoting any unit.
- VTM.votes\_init: A matrix of order J'xK' with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**() with the raw data, without promoting any unit.
- OTM\_init: A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2 initially obtained by **lphom**() with the raw data, without promoting any unit.
- HETe\_init: The estimated heterogeneity index defined in equation (10) of Romero et al. (2020).
- EHet\_init: A matrix of order IxK measuring in each spatial unit the distance to the homogeneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results, using the **lphom**() solution with the raw data, without promoting any unit, in each territorial unit for each option of election 2.
- VTM. complete\_init: A matrix of order JxK with the estimated proportions of vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.
- VTM.complete.votes\_init: A matrix of order JxK with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.

# Author(s)

Jose M. Pavia, <pavia@uv.es>

# References

Pavia, JM, and Romero, R (2024). Improving estimates accuracy of voter transitions. Two new algorithms for ecological inference based on linear programming, *Sociological Methods & Research*, 53(4), 1491–1533. doi:10.1177/00491241221092725.

Pavia, JM, and Penades, A (2024). A bottom-up approach for ecological inference.

# See Also

lphom tslphom nslphom lclphom

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), tslphom\_dual(), tslphom\_joint(), tslphom()

#### Examples

```
mt.rs <- rslphom(France2017P[, 1:8] , France2017P[, 9:12], emphasis = 0.5)
mt.rs$VTM</pre>
```

summary.lphom

# Description

Summary method for objects obtained with an algorithm of the lphom-family (lphom, tslphom, nslphom\_tslphom\_dual, nslphom\_joint, ....).

# Usage

## S3 method for class 'lphom'
summary(object, ...)

# Arguments

object	An object output of a 1phom family algorithm.
	Other arguments passed on to methods. Not currently used.

# Value

An object of class "summary.lphom".

# Author(s)

Jose M. Pavia, <pavia@uv.es>

# Examples

```
mt.ns <- nslphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
summary(mt.ns)</pre>
```

tslphom

Implements tslphom algorithm

# Description

Estimates RxC (JxK) vote transfer matrices (ecological contingency tables) with tslphom

# Usage

```
tslphom(
  votes_election1,
  votes_election2,
  new_and_exit_voters = c("raw", "regular", "ordinary", "enriched", "adjust1", "adjust2",
      "simultaneous", "semifull", "full", "fullreverse", "gold"),
  apriori = NULL,
  lambda = 0.5,
  uniform = TRUE,
  structural_zeros = NULL,
  integers = FALSE,
  distance.local = c("abs", "max", "none"),
  verbose = TRUE,
  solver = "lp_solve",
  integers.solver = "symphony",
  ...
)
```

# Arguments

```
votes_election1
```

data.frame (or matrix) of order IxJ1 with the votes gained by (or the counts corresponding to) the J1 political options competing (available) on election 1 (or origin) in the I units considered. In general, the row marginals of the I tables corresponding to the units.

votes\_election2

data.frame (or matrix) of order IxK2 with the votes gained by (or the counts corresponding to) the K2 political options competing (available) on election 2 (or destination) in the I (territorial) units considered. In general, the column marginals of the I tables corresponding to the units.

new_and_e	exit_voters
-----------	-------------

A character string indicating the level of information available in votes\_election1 and votes\_election2 regarding new entries and exits of the election censuses between the two elections. This argument allows, in addition to the options discussed in Pavia (2023), three more options. This argument admits eleven different values: raw, regular, ordinary, enriched, adjust1, adjust2, simultaneous, semiful1, ful1, ful1reverse and gold. Default, raw.

- apriori data.frame (or matrix) of order J0xK0 with an initial estimate of the (rowstandarized) global voter transition proportions/fractions, pjk0, between the first J0 (election) options of election 1 and the first K0 (election) options of election 2. This matrix can contain some missing values. When no a priori information is available apriori is a null object. Default, NULL.
- 1ambda A number between 0 and 1, informing the relative weight the user assigns to the apriori information. Setting lambda = 0 is equivalent to not having a priori information (i.e., apriori = NULL). Default, 0.5.
- uniform A TRUE/FALSE value that informs whether census exits impact all the electoral options in a (relatively) similar fashion in all iterations, including iteration 0 and

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when deriving units tables. If uniform = TRUE typically at least one of the equations among equations (6) to (11) of Pavia (2023) is included in the underlying model. This parameter has no effect in simultaneous scenarios. It also has not impact in raw and regular scenarios when no net exits are estimated by the function from the provided information. Default, TRUE.

#### structural\_zeros

Default NULL. A list of vectors of length two, indicating the election options for which no transfer of votes are allowed between election 1 and election 2. For instance, when new\_and\_exit\_voters is set to "semifull", lphom implicitly states structural\_zeros = list(c(J1, K2)).

- integers A TRUE/FALSE`` value that indicates whether the problem is solved in integer values in b tegers = TRUE, the LP matrices are approximated to the closest integer solution solving
- distance.local A string argument that indicates whether the second step of the lphom\_local algorithm should be performed to solve potential indeterminacies of local solutions. Default, "abs". If distance.local = "abs" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_1 norm, among the first step compatible matrices. If distance.local = "max" lphom\_local selects in its second step the matrix closer to the temporary global solution under L\_Inf norm, among the first step compatible matrices. If distance.local = "none", the second step of lphom\_local is not performed.
- verbose A TRUE/FALSE value that indicates if a summary of the results of the computations performed to estimate net entries and exits should be printed on the screen. Default, TRUE.
- solver A character string indicating the linear programming solver to be used, only lp\_solve and symphony are allowed. By default, lp\_solve. The package Rsymphony needs to be installed for the option symphony to be used.

integers.solver

A character string indicating the linear programming solver to be used for approximating the LP solution to the closest integer solution. Only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

## Details

Description of the new\_and\_exit\_voters argument in more detail.

raw: The default value. This argument accounts for the most plausible scenario when estimating vote transfer matrices. A scenario with two elections elapsed at least some months where only the raw election data recorded in the I (territorial) units, in which the electoral space under study is divided, are available. In this scenario, net exits and net entries are estimated according to equation (7) of Romero et al. (2020). When both net entries and exits are no null, constraint (15) of Pavia (2023) applies. If there are net exits and uniform = TRUE either constraints (6) or (8) and (15) of Pavia (2023) are imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1.

- regular: This value accounts for a scenario with two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) net exits and maybe other additional net entries are computed according to equation (7) of Romero et al. (2020), and (iii) we can (or not) assume that net exits impact equally all the first J1 1 options of election 1. When both net entries and exits are no null, constraints (13) and (15) of Pavia (2023) apply. If uniform = TRUE and there are net exits either constraints (8) or (11) of Pavia (2023), depending on whether there are or not net entries, are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column J1 of votes\_election1 would correspond to immigrants instead of new young electors.
- ordinary: This value accounts for a scenario with two elections elapsed at least some months where (i) the column K1 of votes\_election2 corresponds to electors who died in the period between elections, (ii) net entries and maybe other additional net exits are computed according to equation (7) of Romero et al. (2020), and (iii) we can assume (or not) that exits impact equally all the J1 options of election 1. When both net entries and exits are no null, constraints (14) and (15) of Pavia (2023) apply and if uniform = TRUE either constraints (8) and (9) or, without net entries, (6) and (7) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- enriched: This value accounts for a scenario that somehow combine regular and ordinary scenarios. We consider two elections elapsed at least some months where (i) the column J1 of votes\_election1 corresponds to new young electors who have the right to vote for the first time, (ii) the column K2 of votes\_election2 corresponds to electors who died in the interperiod election, (iii) other (net) entries and (net) exits are computed according to equation (7) of Romero et al. (2020), and (iv) we can assume (or not) that exits impact equally all the J1 1 options of election 1. When both net entries and exits are no null, constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed. In this scenario, J could be equal to J1 or J1 + 1 and K equal to K2 or K2 + 1. Note that this scenario could be also used if the column J1 of votes\_election1 would correspond to immigrants instead of new young electors and/or if column K1 of votes\_election2 would correspond to emigrants instead of deaths.
- adjust1: This value accounts for a scenario with two elections elapsed at least some months
  where the census in each of the I polling units of the first election (the row-sums of votes\_election1)
  are proportionally adjusted to match the corresponding census of the polling units in the second election (the row-sums of votes\_election2). If integers = TRUE, each row in votes\_election1
  is proportionally adjusted to the closest integer vector whose sum is equal to the sum of the
  corresponding row in votes\_election2.
- adjust2: This value accounts for a scenario with two elections elapsed at least some months where the census in each of the I polling units of the second election (the row-sums of votes\_election2) are proportionally adjusted to match the corresponding census of the polling units in the first election (the row-sums of votes\_election1). If integers = TRUE, each row in votes\_election2 is adjusted to the closest integer vector whose sum is equal to the sum of the corresponding row in votes\_election1.
- simultaneous: This is the value to be used in classical ecological inference problems, such as in ecological studies of racial voting, and in scenarios with two simultaneous elections. In this scenario, the sum by rows of votes\_election1 and votes\_election2 must coincide. Constraints defined by equations (8) and (9) of Romero et al. (2020) are not included in the

## tslphom

model. In this case, the lphom function just implements the basic model defined, for instance, by equations (1) to (5) of Pavia (2024).

- semifull: This value accounts for a scenario with two elections elapsed at least some months, where: (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) the column K2 = K of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraint (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) of Pavia (2023) are also imposed.
- full: This value accounts for a scenario with two elections elapsed at least some months, where (i) the column J 1 of votes\_election1 totals new young electors that have the right to vote for the first time, (ii) the column J (=J1) of votes\_election1 measures new immigrants that have the right to vote and (iii) the column K (=K2) of votes\_election2 corresponds to total exits of the census lists (due to death or emigration). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (13) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (11) of Pavia (2023) are also imposed.
- fullreverse: This value is somehow the mirror version of full. It accounts for a scenario with two elections elapsed at least some months, where (i) the column J1 = J of votes\_election1 totals new electors (young and immigrants) that have the right to vote for the first time and (ii) where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree and constraints (14) and (15) of Pavia (2023) apply. Additionally, if uniform = TRUE constraints (8) and (9) of Pavia (2023) are also imposed.
- gold: This value accounts for a scenario similar to full, where total exits are separated out between exits due to emigration (column K 1 of votes\_election2) and death (column K of votes\_election2). In this scenario, the sum by rows of votes\_election1 and votes\_election2 must agree. Constraints (12) to (15) of Pavia (2023) apply and if uniform = TRUE constraints (10) and (11) of Pavia (2023) are also imposed.

# Value

A list with the following components

VTM	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated percentages of row-standardized vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than 1% of the census in all units, net entries are omitted (i.e., the number of rows of VTM is equal to J1) even when estimates for net entries different from zero are obtained. Likewise, in the same scenarios when the percentage of net exits is small, less than 1% of the census in all units, net exits are omitted (i.e., the number of rows of VTM is equal to K2) even when estimates for net exits different from zero are obtained.
VTM.votes	A matrix of order J'xK' (where J'=J-1 or J and K'=K-1 or K) with the estimated vote transitions from election 1 to election 2. In raw, regular, ordinary and enriched scenarios when the percentage of net entries is small, less than $1\%$ of the census, net entries are omitted (i.e., J = J1) even when estimates for net

	entries different from zero are obtained. Likewise, in the same scenarios whe the percentage of net exits is small, less than 1% of the census, net exits an omitted (i.e., $K = K2$ ) even when estimates for net exits different from zero an obtained.
ОТМ	A matrix of order KxJ with the estimated percentages of the origin of the vote obtained for the different options of election 2.
НЕТе	The estimated heterogeneity index as defined in equation (15) of Pavia an Romero (2022).
VTM.complete	A matrix of order JxK with the estimated proportions of row-standardized vot transitions from election 1 to election 2, including in raw, regular, ordinar and enriched scenarios the row and the column corresponding to net_entrie and net_exits even when they are really small, less than 1% in all units.
VTM.complete.vo	otes
	A matrix of order JxK with the estimated vote transitions from election 1 to election 2, including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net_entries and net_exits even when the are really small, less than 1% in all units.
VTM.prop.units	An array of order JxKxI with the estimated proportions of vote transitions from election 1 to election 2 attained for each unit after adjusting the <b>lphom</b> () initial estimate.
VTM.votes.units	\$
	An array of order JxKxI with the estimated matrix of vote transitions from election 1 to election 2 attained for each unit after adjusting the <b>lphom()</b> initial estimate.
zeros	A list of vectors of length two, indicating the election options for which r transfer of votes are allowed between election 1 and election 2.
deterministic.	A list of two matrices of order JxK and two arrays of order JxKxI containing for
	each vote transition the lower and upper allowed proportions given the observe aggregates.
inputs	A list containing all the objects with the values used as arguments by the function.
origin	A matrix with the final data used as votes of the origin election after taking int account the level of information available regarding to new entries and exits of the election censuses between the two elections.
destination	A matrix with the final data used as votes of the origin election after taking in account the level of information available regarding to new entries and exits of the election censuses between the two elections.
EHet	A matrix of order IxK measuring in each spatial unit a distance to the home geneity hypothesis, that is, the differences under the homogeneity hypothes between the actual recorded results and the expected results with the solution each territorial unit for each option of election 2.
solution_init	A list with the main outputs produced by <b>lphom</b> ().
• VTM init: 4	A matrix of order J'xK' with the estimated percentages of vote transitions fro

• VTM\_init: A matrix of order J'xK' with the estimated percentages of vote transitions from election 1 to election 2 initially obtained by **lphom**().

## tslphom

- VTM.votes\_init: A matrix of order J'xK' with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**().
- OTM\_init: A matrix of order KxJ with the estimated percentages of the origin of the votes obtained for the different options of election 2 initially obtained by **lphom**().
- HETe\_init: The estimated heterogeneity index defined in equation (10) of Romero et al. (2020).
- EHet\_init: A matrix of order IxK measuring in each spatial unit the distance to the homogeneity hypothesis, that is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results, using the **lphom**() solution, in each territorial unit for each option of election 2.
- VTM.complete\_init: matrix of order JxK with the estimated proportions of vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.
- VTM. complete.votes\_init: A matrix of order JxK with the estimated vote transitions from election 1 to election 2 initially obtained by **lphom**(), including in raw, regular, ordinary and enriched scenarios the row and the column corresponding to net\_entries and net\_exits even when they are really small, less than 1% in all units.

## Author(s)

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# References

Pavia, JM, and Romero, R (2024). Improving estimates accuracy of voter transitions. Two new algorithms for ecological inference based on linear programming, *Sociological Methods & Research*, 53(4), 1491–1533. doi:10.1177/00491241221092725.

Pavia, JM (2024). Integer estimation of inner-cell values in RxC ecological tables. *Bulletin of Sociological Methodology*, 164(1), 97-121. doi:10.1177/07591063241277064.

#### See Also

lphom nslphom lclphom rslphom

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom\_joint()

# Examples

```
mt.ts <- tslphom(France2017P[, 1:8] , France2017P[, 9:12], new_and_exit_voters= "raw")
mt.ts$VTM
mt.ts$HETe
mt.ts$solution_init$HETe_init</pre>
```

tslphom\_dual

## Description

Estimates RxC vote transfer matrices (ecological contingency tables) with tslphom\_dual

## Usage

```
tslphom_dual(
   votes_election1,
   votes_election2,
   integers = FALSE,
   solver = "lp_solve",
   integers.solver = "symphony",
   ...
)
```

## Arguments

```
votes_election1
```

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

```
votes_election2
```

data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the K political options competing on election 2 (or destination) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

- integers A TRUE/FALSE value that indicates whether the problem is solved in integer values in both iterations: zero (lphom) and final (including unit) solutions. If TRUE, the LP matrices are approximated to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
- solver A character string indicating the linear programming solver to be used, only lp\_solve and symphony are allowed. By default, lp\_solve. The package Rsymphony needs to be installed for the option symphony to be used.

integers.solver

A character string indicating the linear programming solver to be used to approximate to the closest integer solution, only symphony and lp\_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.

... Other arguments to be passed to the function. Not currently used.

# Value

A list with the following components

VTM.votes.w	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
VTM.votes.units	5.W	
	The array of order JxKxI with the local estimated cross-distributions of votes of elections 1 and 2 by unit, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
VTM.votes.a	The matrix of order JxK with the estimated cross-distribution of votes of elec- tions 1 and 2, attained simple averaging the two dual solutions.	
VTM.votes.units	s.a	
	The matrix of order JxKxI with the estimated cross-distributions of votes of elections 1 and 2 by unit, attained weighting the two dual solutions using as weights the corresponding HTEe estimates.	
HETe.w	Estimated heterogeneity index associated to the VTM.votes.w solution.	
HETe.a	Estimated heterogeneity index associated to the VTM.votes.a solution.	
VTM12.w	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.w solution.	
VTM21.w	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.w solution.	
VTM12.a	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes.a solution.	
VTM21.a	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes.a solution.	
tslphom.object.12		
	The output of the tslphom function attained solving the problem $X \rightarrow Y$ , that is, mapping votes_election1 to rows and votes_election2 to columns.	
<pre>tslphom.object.</pre>	21	
	The output of the tslphom function attained solving the problem $Y \rightarrow X$ , that is, mapping votes_election2 to rows and votes_election1 to columns.	
inputs	A list containing all the objects with the values used as arguments by the function.	

# Author(s)

Jose M. Pavia, <pavia@uv.es>

Rafael Romero <rromero@eio.upv.es>

# References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

# See Also

tslphom lphom\_dual nslphom\_dual lphom\_joint tslphom\_joint nslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_joint(), tslphom()

# Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- tslphom_dual(x, y)
mt$VTM.votes.w
mt$HETe.w
```

tslphom\_joint

Implements the tslphom\_joint algorithm

# Description

Estimates RxC vote transfer matrices (ecological contingency tables) with tslphom\_joint

## Usage

```
tslphom_joint(
  votes_election1,
  votes_election2,
  integers = FALSE,
  solver = "lp_solve",
   integers.solver = "symphony",
   ...
)
```

## Arguments

```
votes_election1
```

data.frame (or matrix) of order IxJ with the counts to be initially mapped to rows. When estimating vote transfer matrices, the votes gained by the J political options competing on election 1 (or origin) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

votes\_election2

data.frame (or matrix) of order IxK with the counts to be initially mapped to columns. When estimating vote transfer matrices, the votes gained by the K political options competing on election 2 (or destination) in the I territorial units considered. The sum by rows of votes\_election1 and votes\_election2 must coincide.

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integers	A TRUE/FALSE value that indicates whether the problem is solved in integer values in both iterations: zero (lphom) and final (including unit) solutions. If TRUE, the LP matrices are approximated to the closest integer solution solving the corresponding Integer Linear Program. Default, FALSE.
solver	A character string indicating the linear programming solver to be used, only lp_solve and symphony are allowed. By default, lp_solve. The package Rsymphony needs to be installed for the option symphony to be used.
integers.solver	
	A character string indicating the linear programming solver to be used to approx- imate to the closest integer solution, only symphony and lp_solve are allowed. By default, symphony. The package Rsymphony needs to be installed for the option symphony to be used. Only used when integers = TRUE.
	Other arguments to be passed to the function. Not currently used.

# Value

A list with the following components

VTM.votes	A matrix of order JxK with the estimated cross-distribution of votes of elections 1 and 2.
HETe	The estimated heterogeneity index associated to the VTM.votes solution.
VTM12	The matrix of order JxK with the estimated row-standardized proportions of vote transitions from election 1 to election 2 associated to the VTM.votes solution.
VTM21	The matrix of order KxJ with the estimated row-standardized proportions of vote transitions from election 2 to election 1 associated to the VTM.votes solution.
VTM.votes.unit:	S
	An array of order JxKxI with the estimated matrix of cross-distributions of votes of elections 1 and 2 attained for each unit after congruently adjusting the <b>lphom_joint</b> () initial estimate.
EHet12	A matrix of order IxK measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election two. The matrix Eik.
EHet21	A matrix of order IxJ measuring in each unit a distance to the homogeneity hypothesis. That is, the differences under the homogeneity hypothesis between the actual recorded results and the expected results in each territorial unit for each option of election one. The matrix Eij.
deterministic.	bounds
	A list of two matrices of order JxK and two arrays of order JxKxI containing for each vote transition the lower and upper allowed proportions given the observed aggregates.
inputs	A list containing all the objects with the values used as arguments by the func- tion.
solution_init	A list with the main outputs produced by <b>lphom_joint</b> ().

# Author(s)

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# References

Pavia, JM and Romero, R (2024). Symmetry estimating RxC vote transfer matrices from aggregate data. *Journal of the Royal Statistical Society, Series A – Statistics in Society*, 187(4), 919-943. doi:10.1093/jrsssa/qnae013

# See Also

tslphom lphom\_dual tslphom\_dual nslphom\_dual lphom\_joint nslphom\_joint

Other linear programing ecological inference functions: lclphom(), lp\_apriori(), lphom\_dual(), lphom\_joint(), lphom(), nslphom\_dual(), nslphom\_joint(), nslphom(), rslphom(), tslphom\_dual(), tslphom()

# Examples

```
x <- France2017P[, 1:8]
y <- France2017P[, 9:12]
y[,1] <- y[,1] - (rowSums(y) - rowSums(x))
mt <- tslphom_joint(x, y)
mt$VTM.votes
mt$HETe
```

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